

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 101182 / September 25, 2024

INVESTMENT ADVISERS ACT OF 1940  
Release No. 6728 / September 25, 2024

Admin. Proc. File No. 3-21919

In the Matter of  
  
WILLIAM E. MILLER

ORDER DIRECTING STATUS REPORT FROM THE DIVISION OF ENFORCEMENT

On April 22, 2024, the Securities and Exchange Commission issued an order instituting proceedings (“OIP”) against respondent William E. Miller, pursuant to Section 203(f) of the Investment Advisers Act of 1940.<sup>1</sup> On June 27, 2024, the Division of Enforcement filed a status report indicating that it had retained a process server to personally serve Miller with the OIP, but as of the date of the status report, the process server had not succeeded in locating Miller. The Division has not provided any additional status reports regarding its attempts to serve Miller with the OIP.

Accordingly, IT IS ORDERED that the Division of Enforcement file a status report concerning its efforts to serve Miller with the OIP by October 16, 2024, and every 28 days thereafter until service is accomplished.

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<sup>1</sup> *William E. Miller*, Advisers Act Release No. 6594, 2024 WL 1722648 (Apr. 22, 2024).

The parties' attention is directed to the e-filing requirements in the Rules of Practice.<sup>2</sup> We also remind the parties that any document filed with the Commission must be served upon all participants in the proceeding and be accompanied by a certificate of service.<sup>3</sup>

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary

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<sup>2</sup> See Rules of Practice 151, 152(a), 17 C.F.R. §§ 201.151, .152(a) (providing procedure for filing papers with the Commission and mandating electronic filing in the form and manner posted on the Commission's website); *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. Parties generally also must certify that they have redacted or omitted sensitive personal information from any filing. Rule of Practice 151(e), 17 C.F.R. § 201.151(e).

<sup>3</sup> See Rule of Practice 150, 17 C.F.R. § 201.150 (generally requiring parties to serve each other with their filings); Rule of Practice 151(d), 17 C.F.R. § 201.151(d) ("Papers filed with the Commission . . . shall be accompanied by a certificate stating the name of the person or persons served, the date of service, the method of service, and the mailing address or email address to which service was made, if not made in person.").