

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 100745 / August 16, 2024

Admin. Proc. File No. 3-21973

In the Matter of

NICOLE J. WALKER

ORDER REGARDING SERVICE

The Securities and Exchange Commission issued an order instituting administrative proceedings (“OIP”) on June 18, 2024, pursuant to Section 15(b) of the Securities Exchange Act of 1934, against Nicole J. Walker.¹ It appears that there have been no filings in this proceeding since issuance of the OIP, including as to whether the OIP was served upon Walker.²

To assist the Office of the Secretary in maintaining a record of service that establishes that the OIP has been properly served,³ IT IS ORDERED that the Division of Enforcement file a status report concerning service of the OIP by August 30, 2024, and every 28 days thereafter until service is accomplished.

¹ *Nicole J. Walker*, Exchange Act Release No. 100383, 2024 WL 3054131 (June 18, 2024).

² We take official notice that records maintained by the Office of the Secretary contain a green card indicating that the OIP was delivered to an attorney. But no attorney has made an appearance for Walker in this proceeding. Moreover, there is no legal or evidentiary basis in the record for concluding that the attorney is “an agent authorized by appointment or by law to receive” notice on Walker’s behalf. Rule of Practice 141(a)(2)(i), 17 C.F.R. § 201.141(a)(2)(i).

³ *See* Rule of Practice 141(a)(3), 17 C.F.R. § 201.141(a)(3).

The parties' attention is directed to the e-filing requirements in the Rules of Practice.⁴

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

⁴ See Rules of Practice 151, 152(a), 17 C.F.R. §§ 201.151, .152(a) (providing procedure for filing papers with the Commission and mandating electronic filing in the form and manner posted on the Commission's website); *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. Parties generally also must certify that they have redacted or omitted sensitive personal information from any filing. Rule of Practice 151(e), 17 C.F.R. § 201.151(e).