

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 100578 / July 23, 2024

INVESTMENT ADVISERS ACT OF 1940  
Release No. 6639 / July 23, 2024

Admin. Proc. File No. 3-21345

In the Matter of  
  
BERNARD M. PARKER

SECOND ORDER REGARDING SERVICE

On March 20, 2023, the Securities and Exchange Commission issued an order instituting proceedings (“OIP”) against Bernard M. Parker pursuant to Section 15(b) of the Securities Exchange Act of 1934 and Section 203(f) of the Investment Advisers Act of 1940.<sup>1</sup> It appears that, other than a notice of appearance by Division of Enforcement counsel, there have been no filings in this proceeding since issuance of the OIP, including as to whether the OIP was served upon Parker.

On August 23, 2023, we issued an order regarding service directing the Division of Enforcement to file a status report concerning service of the OIP on Parker by September 6, 2023, and every 28 days thereafter until service was accomplished.<sup>2</sup> The Division did not do so.

Accordingly, IT IS ORDERED that the Division of Enforcement file a status report concerning service of the OIP by August 6, 2024, and every 28 days thereafter until service is accomplished.

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<sup>1</sup> *Bernard M. Parker*, Exchange Act Release No. 97166, 2023 WL 2582386 (Mar. 20, 2023).

<sup>2</sup> *Bernard M. Parker*, Exchange Act Release No. 98204, 2023 WL 5448000 (Aug. 23, 2023).

The parties' attention is directed to the e-filing requirements in the Rules of Practice.<sup>3</sup> We also remind the parties that any document filed with the Commission must be served upon all participants in the proceeding and be accompanied by a certificate of service.<sup>4</sup>

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary

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<sup>3</sup> See Rules of Practice 151, 152(a), 17 C.F.R. §§ 201.151, .152(a) (providing procedure for filing papers with the Commission and mandating electronic filing in the form and manner posted on the Commission's website); *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. Parties generally also must certify that they have redacted or omitted sensitive personal information from any filing. Rule of Practice 151(e), 17 C.F.R. § 201.151(e).

<sup>4</sup> See Rule of Practice 150, 17 C.F.R. § 201.150 (generally requiring parties to serve each other with their filings); Rule of Practice 151(d), 17 C.F.R. § 201.151(d) ("Papers filed with the Commission . . . shall be accompanied by a certificate stating the name of the person or persons served, the date of service, the method of service, and the mailing address or email address to which service was made, if not made in person.").