UNITED STATES OF AMERICA before the SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934 Release No. 95252 / July 11, 2022

Admin. Proc. File No. 3-20127

In the Matter of the Application of

ERIC S. SMITH

For Review of Disciplinary Action Taken by

FINRA

ORDER EXTENDING TIME TO ISSUE DECISION

The Commission has determined, in its discretion, that it is appropriate to extend by 90 days the period within which the decision in this matter may be issued. Accordingly, IT IS ORDERED that such period be, and hereby is, extended to October 11, 2022.¹

By the Commission.

Vanessa A. Countryman Secretary

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October 9, 2022 is a Sunday, and October 10, 2022 is a federal holiday.