

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 95113 / June 16, 2022

Admin. Proc. File No. 3-20816

In the Matter of  
  
ANITA SGARRO

ORDER CONTINUING PREHEARING CONFERENCE

On April 8, 2022, the Securities and Exchange Commission issued an order instituting administrative proceedings (“OIP”) against Anita Sgarro pursuant to Section 15(b) of the Securities Exchange Act of 1934.<sup>1</sup> Sgarro was served with the OIP on April 16, 2022, and filed an answer on May 2, 2022. The answer stated that Sgarro is willing to settle this proceeding, without admitting or denying the allegations in the OIP, by consenting to an industry-wide bar, but only if she can do so without prejudicing the resolution of a post-judgment motion pending in her criminal case.

On May 19, 2022, the parties filed a Joint Statement Regarding Prehearing Conference, which represented that the Division sent Sgarro a draft settlement offer. The Statement also represented that Sgarro seeks more time to discuss the offer with counsel in her criminal case and that the parties agree to defer the remainder of the prehearing conference, discovery, and briefing of dispositive motions.

Consistent with the agreement of the parties, it is ORDERED that the prehearing conference is continued indefinitely and that the parties shall file a status report by August 15, 2022. In the event that Sgarro rejects the Division’s settlement offer, the parties should hold a subsequent prehearing conference within 14 days and, following the conference, promptly file a statement advising the Commission of any agreement reached as well as the parties’ positions on the subjects discussed.

The parties’ attention is directed to the most recent amendments to the Commission’s Rules of Practice, which took effect on April 12, 2021, and which include new e-filing requirements.<sup>2</sup>

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<sup>1</sup> *Anita Sgarro*, Exchange Act Release No. 94660, 2022 WL 1058704 (Apr. 8, 2022).

<sup>2</sup> *Amendments to the Commission’s Rules of Practice*, Exchange Act Release No. 90442, 2020 WL 7013370 (Nov. 17, 2020), 85 Fed. Reg. 86,464, 86,474 (Dec. 30, 2020), <https://www.sec.gov/rules/final/2020/34-90442a.pdf>; *Instructions for Electronic Filing and*

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary

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*Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. The amendments impose other obligations such as a new redaction and omission of sensitive personal information requirement. *Amendments to the Commission's Rules of Practice*, 85 Fed. Reg. at 86,465–81.