

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 94932 / May 17, 2022

INVESTMENT ADVISERS ACT OF 1940
Release No. 6028 / May 17, 2022

Admin. Proc. File No. 3-20680

In the Matter of

JOHN A. PAULSEN

ORDER DENYING MOTION TO EXTEND DEADLINE AS MOOT AND
SUPPLEMENTING PRIOR EXTENSION ORDER TO REFLECT FILING OF BRIEF

On December 16, 2021, the Securities and Exchange Commission issued an order instituting administrative proceedings (“OIP”) against John A. Paulsen, pursuant to Section 15(b) of the Securities Exchange Act of 1934 and Section 203(f) of the Investment Advisers Act of 1940.¹ On April 18, 2022, the Division filed a motion for summary disposition pursuant to a scheduling order entered by the Commission.² On May 10, 2022, Paulsen filed an unopposed motion to extend the date for the filing of his opposition brief from May 9, 2022, to May 13, 2022, due, in part, to an illness suffered by his counsel. On May 12, 2022, the Commission granted the motion and ordered that Paulsen file his opposition by May 23, 2022, a longer extension of time than Paulsen had requested, and the Division file its reply by June 6, 2022.³

On May 16, 2022, one business day after the date by which he had requested to file his brief, Paulsen filed his opposition papers. At the same time, Paulsen filed an unopposed motion to extend the filing deadline for those papers until May 16, 2022, in light of routine maintenance that the Commission’s electronic filing portal had undergone over the weekend that had made it unavailable beginning the previous Friday evening. Because we previously granted Paulsen an extension of time until May 23, 2022, to file his brief, Paulsen’s current motion to extend the deadline to file his opposition brief is DENIED as moot. Should Paulsen wish to supplement the

¹ *John A. Paulsen*, Exchange Act Release No. 93805, 2021 WL 5986787 (Dec. 16, 2021).

² *John A. Paulsen*, Exchange Act Release No. 94491, 2022 WL 850130 (Mar. 22, 2022) (requiring filing of motion for summary disposition by April 18, 2022, opposition brief by May 9, 2022, and reply brief by May 16, 2022).

³ *John A. Paulsen*, Exchange Act Release No. 94903, 2022 WL 1525946 (May 12, 2022).

opposition papers he filed on May 16, 2022, it is ORDERED that he may do so by May 23, 2022. The deadline by which the Division must file any reply brief remains June 6, 2022.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary