

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 93939 / January 10, 2022

INVESTMENT ADVISERS ACT OF 1940
Release No. 5941 / January 10, 2022

Admin. Proc. File No. 3-20680

In the Matter of

JOHN A. PAULSEN

ORDER GRANTING AN EXTENSION OF TIME

On December 16, 2021, the Securities and Exchange Commission issued an order instituting administrative proceedings (“OIP”) against John A. Paulsen pursuant to Section 15(b) of the Securities Exchange Act of 1934 and Section 203(f) of the Investment Advisers Act of 1940.¹ On January 6, 2022, Paulsen filed an unopposed motion to extend the deadline to answer the OIP from January 25, 2022 to March 7, 2022. Paulsen states that “undersigned counsel has a jury trial scheduled to commence on February 2, 2022” as justification for the extension. He further states that “[t]he trial is expected to last between two or four weeks.”

On March 18, 2020, the Commission issued an order providing that, pending further order of the Commission, reasonable requests for extensions of time will not be disfavored as stated in Commission Rule of Practice 161.² It appears appropriate to grant the requested extension for good cause shown.³ Therefore, it is ORDERED that the time for filing an answer to the OIP is extended to March 7, 2022.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

¹ John A. Paulsen, Exchange Act Release No. 93805, 2021 WL 5986778 (Dec. 16, 2021).

² *Pending Administrative Proceedings*, Exchange Act Release No. 88415, 2021 WL 1322001, at *1 (Mar. 18, 2020), <https://www.sec.gov/litigation/opinions/2020/33-10767.pdf>.

³ See Rule of Practice 161, 17 C.F.R. § 201.161.