

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940  
Release No. 5861 / September 15, 2021

Admin. Proc. File No. 3-20531

In the Matter of  
  
HORTER INVESTMENT MANAGEMENT, LLC  
AND DREW K. HORTER

ORDER REGARDING SERVICE

On September 8, 2021, the Securities and Exchange Commission issued an order instituting an administrative proceeding (“OIP”) against Horter Investment Management, LLC and Drew K. Horter (individually “Horter Investment” and “Horter,” collectively “Respondents”), pursuant to Sections 203(e), 203(f), and 203(k) of the Investment Advisers Act of 1940.<sup>1</sup> It appears that since the issuance of the OIP, there have been no filings regarding whether the OIP was served upon Respondents. Accordingly, IT IS ORDERED that the Division of Enforcement file a status report concerning service of the OIP by September 22, 2021, and every 28 days thereafter until service is accomplished.

The parties’ attention is directed to the most recent amendments to the Commission’s Rules of Practice, which took effect on April 12, 2021, and which include new e-filing requirements.<sup>2</sup>

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<sup>1</sup> *Horter Inv. Mgmt., LLC*, Advisers Act Release No. 5853, 2021 WL 4100538 (Sept. 8, 2021).

<sup>2</sup> *Amendments to the Commission’s Rules of Practice*, Exchange Act Release No. 90442, 2020 WL 7013370 (Nov. 17, 2020), 85 Fed. Reg. 86,464, 86,474 (Dec. 30, 2020), <https://www.sec.gov/rules/final/2020/34-90442a.pdf>; *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. The amendments impose other obligations such as a new redaction and omission of sensitive personal information requirement. *Amendments to the Commission’s Rules of Practice*, 85 Fed. Reg. at 86,465-81.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary