

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 91228/ March 1, 2021

INVESTMENT ADIVSERS ACT OF 1940  
Release No. 5693 / March 1, 2021

Admin. Proc. File No. 3-20184

In the Matter of  
  
MUNISH SOOD

SCHEDULING ORDER

On December 21, 2020, the Securities and Exchange Commission issued an order instituting proceedings (“OIP”) against Munish Sood (“Respondent”) pursuant to Section 15(b) of the Securities Exchange Act of 1934 and Section 203(f) of the Investment Advisers Act of 1940.<sup>1</sup> Respondent submitted his answer to the OIP on January 11, 2021.

On January 27, 2021, the parties filed a joint statement following a prehearing conference held on January 22, 2021. The joint statement represented that the Division of Enforcement believes that this matter should be resolved by a motion for summary disposition pursuant to Commission Rule of Practice 250.<sup>2</sup> The statement further represented that the parties had reached an agreement on a proposed briefing schedule for the Division’s motion.

Accordingly, IT IS ORDERED, based on the parties’ representations, that the Division shall file its motion for summary disposition by April 30, 2021, Respondent shall file his brief in opposition by May 31, 2021, and the Division’s reply brief by June 10, 2021. The parties’ attention is called to the Commission’s March 18, 2020 order regarding the filing and service of papers, which provides that pending further order of the Commission, parties should to the extent

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<sup>1</sup> *Munish Sood*, Investment Advisers Act Release No. 5652, 2020 WL 7496226 (Dec. 21, 2020).

<sup>2</sup> 17 C.F.R. § 201.250 (providing that summary disposition is appropriate if “there is no genuine issue with regard to any material fact and [] the movant is entitled to summary disposition as a matter of law”).

possible submit all filings electronically at [apfilings@sec.gov](mailto:apfilings@sec.gov).<sup>3</sup> Also, the Commission's Rules of Practice were recently amended to include new e-filing requirements, which take effect on April 12, 2021.<sup>4</sup>

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary

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<sup>3</sup> See *Pending Administrative Proceedings*, Exchange Act Release No. 88415, 2020 WL 1322001 (March 18, 2020), <https://www.sec.gov/litigation/opinions/2020/33-10767.pdf>.

<sup>4</sup> *Amendments to the Commission's Rules of Practice*, 85 Fed. Reg. 86,464, 86,474 (Dec. 30, 2020); see also *Amendments to the Commission's Rules of Practice*, Exchange Act Release No. 90442, 2020 WL 7013370 (Nov. 17, 2020); *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. The amendments also impose other obligations on parties to administrative proceedings such as a new redaction and omission of sensitive personal information requirement. *Amendments to the Commission's Rules of Practice*, 85 Fed. Reg. at 86,465–81.