

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 90269 / October 26, 2020

ADMINISTRATIVE PROCEEDING  
File No. 3-20127

In the Matter of the Application of  
  
Eric S. Smith  
  
For Review of Action Taken by  
  
Financial Industry Regulatory Authority

EXTENSION ORDER

FINRA has requested an unopposed extension of time until November 12, 2020 to file its certified record in this matter. It appears appropriate to grant FINRA's request for good cause shown. Therefore,

It is ORDERED pursuant to Rule 161 of the Commission's Rules of Practice<sup>1</sup> that FINRA's time for filing the certified record is extended to November 12, 2020.

For the Commission, by its Secretary, pursuant to delegated authority.<sup>2</sup>

Vanessa A. Countryman  
Secretary

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<sup>1</sup> 17 CFR § 201.161.

<sup>2</sup> 17 CFR § 200.30-7(a)(4).