

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 75617 / August 5, 2015

Admin. Proc. File No. 3-13678r

In the Matter of the Application of  
  
JOHN M.E. SAAD  
  
For Review of Disciplinary Action Taken by  
FINRA

ORDER DENYING REQUEST FOR ORAL ARGUMENT

John M.E. Saad has applied for review of FINRA disciplinary action. In connection with that application, Saad has requested oral argument. Commission Rule of Practice 451(a) provides that oral argument will be allowed in a case of this type only if the "Commission determines that the presentation of facts and legal arguments in the briefs and record and the decisional process would be significantly aided by oral argument."<sup>1</sup> It appears that the issues raised in the pending application can be determined on the basis of the record and the papers filed by the parties, without oral argument.<sup>2</sup>

Accordingly, it is ORDERED that the request of John M.E. Saad for oral argument is denied.

For the Commission by the Office of the General Counsel, pursuant to delegated authority.

Brent J. Fields  
Secretary

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<sup>1</sup> 17 C.F.R. § 201.451(a).

<sup>2</sup> Saad also asks that he be given the "opportunity to have one final response . . . if the Commission is inclined to rule against him . . ." Saad cites to no authority in our rules to support, and does not otherwise justify, his request, which is denied. The denial of this request is not intended to suggest any view as to the ultimate outcome of Saad's appeal.