## UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING File No. 3-21788

In the Matter of

Bradley M. Holts,

Respondent.

STATUS REPORT RE SERVICE ON RESPONDENT BRADLEY M. HOLTS

The Division of Enforcement ("Division") of the Securities and Exchange

Commission hereby submits this *Status Report* to advise the Secretary on the Division's efforts to serve Respondent.

- 1. The Office of the Secretary provided a U.S. Express Mail tracking number for the copies of the Order Instituting Proceedings ("OIP") that were mailed by the Secretary to Respondent in this proceeding. While a delivery attempt was made on Respondent's residence on November 6, 2023, an authorized recipient was not available (see **Exhibit 1**). Respondent did not schedule a redelivery, nor did he pick up the package at the Post Office.
- 2. As a result, a process server was contacted to personally serve Respondent. Service was attempted at Respondent's residence on November 10, 11, 18, 27, 29, and December 5, 8, 15, 16, 18, and 19 as outlined in the attached Declaration (Exhibit 2). On November 27, 2023, service was attempted on Respondent as he was exiting his vehicle as it was parked in the driveway. *Id.* at ¶ 6. When Respondent noticed the process server, he "quickly re-entered his vehicle and departed the property. He nearly collided with the process server as he backed out of the driveway." *Id.* Based on

Respondent's actions, as well as the number of attempts made to serve Respondent at his residence, it appears he is evading service.

- 3. On December 14, 2023, the Division attempted to serve Respondent via U.S. Registered Mail. However, the delivery was refused by Respondent (or another individual at his residence) at 4:33pm on December 21, 2023 (see **Exhibit 3**, p. 1). It was refused again on December 26, 2023. *Id.* at p. 2.
- 5. Respondent has received a copy of the OIP via UPS, delivery confirmed thereby (see attached **Exhibit 4**), on December 29, 2023 at his residence located at
- 6. Attempts to serve Respondent continue and the Division will notify the Secretary once service has been completed.

Dated this 9<sup>th</sup> day of January, 2024.

s/Jodanna L. Haskins

Jodanna L. Haskins, Esq. Division of Enforcement Securities and Exchange Commission Denver Regional Office 1961 Stout Street, Ste. 1700 Denver, CO 80294

### **CERTIFICATE OF SERVICE**

I hereby certify that a true copy of the Division of Enforcement's Status Report was served on the following on this 9<sup>th</sup> day of January, 2024, in the manner indicated below:

Securities and Exchange Commission Vanessa Countryman, Secretary 100 F Street, N.E. Mail Stop 1090 Washington, D.C. 20549 (Via eFap filing system)

**Bradley Morgan Holts** 

Pro se

(Via U.S. Mail)

/s/ Cynthia Knowles

Cynthia Knowles, SEC Paralegal

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### **SEC's INDEX OF ATTACHMENTS**

Attachment	<u>Description</u>
1	USPS Tracking Results
2	Declaration
3	USPS Registered Mail Tracking
4	UPS Delivery Notification