UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING File No. 3-21726

In the Matter of

BRIAN BARTLETT AMOAH and ELBERT "AL" ELLIOTT,

Respondents.

DIVISION OF ENFORCEMENT'S STATUS REPORT REGARDING SERVICE OF ORDER INSTITUTING PROCEEDING

As described in previous status reports, the Division of Enforcement's process server has made multiple attempts to serve the Order Instituting Proceedings ("OIP") on Respondent Elbert Elliott, at several different locations.

As previously stated, on April 25, 2024 the Division's process server attempted to serve Elliott through the business office of Express Employment Professionals, a temporary staffing provider located in Lafayette, Indiana. As of that date, counsel for the Division of Enforcement understood that Elliott was employed by Express Employment as an independent contractor, and assigned to work at the office of one or more Express Employment clients. Accordingly, the Division believed that service of the OIP and related papers on a manager at Express Employment's main office, who would then provide those papers to Elliott, constituted service under Rule 141(a)(2)(i).

However, following the Commission's June 4, 2024 Second Order Regarding Service, counsel for the Division contacted Express Employment and was informed that Elliott's

employment had been terminated prior to April 25, 2024, and that Express Employment had not provided Elliott with the OIP and related papers. Accordingly, the Division of Enforcement no longer believes that Elliott has been validly served.

Counsel for the Division regrets the error and will continue to search for alternate locations where Elliott might be served.

Dated: July 1, 2024

By: <u>/s/Robert M. Moye</u> Robert M. Moye (moyer@sec.gov) Devlin N. Su (sude@sec.gov) Peter Senechalle (senechallep@sec.gov) U.S. Securities and Exchange Commission Chicago Regional Office 175 West Jackson Boulevard, Suite 1450 Chicago, IL 60604 Telephone: (312) 353-7390

Counsel for the Division of Enforcement

CERTIFICATE OF SERVICE

I hereby certify that on July 1, 2024, I caused a copy of the foregoing document to be filed with the Office of Secretary through the eFAP system, and sent by email to:

Brian B. Amoah

Elbert Elliott

/s/ Robert M. Moye

Robert M. Moye Counsel for the Division of Enforcement