UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING File No. 3-21726

In the Matter of

BRIAN BARTLETT AMOAH and ELBERT "AL" ELLIOTT,

Respondents.

DIVISION OF ENFORCEMENT'S THIRD STATUS REPORT REGARDING SERVICE OF ORDER INSTITUTING PROCEEDING

Pursuant to the Commission's Order Regarding Service, dated November 22, 2023, the Division retained a process server and has attempted to serve the Respondents by personal service as provided in Rule 141(a)(2)(i) of the Commission's Rules of Practice.

As previously reported, the Division served Respondent Brian Amoah at his residence on December 9, 2023. However, Amoah has not responded.

The Division was unable to serve Respondent Elbert Elliott at different addresses. The Division's process server made a number of unsuccessful attempts to serve Elliott, and has attempted to contact his relatives. The Division has located a new potential address and will continue its efforts to locate and serve Elliott with the OIP. If those efforts are not successful, the Division will file another status report regarding service on or before May 8, 2024.

Dated: March 27, 2024

By: /s/Devlin N. Su Robert M. Moye (moyer@sec.gov) Devlin N. Su (sude@sec.gov) Peter Senechalle (senechallep@sec.gov) U.S. Securities and Exchange Commission Chicago Regional Office 175 West Jackson Boulevard, Suite 1450 Chicago, IL 60604 Telephone: (312) 353-7390

Counsel for the Division of Enforcement

CERTIFICATE OF SERVICE

I hereby certify that on March 27, 2024, I caused a copy of the foregoing document to be filed with the Office of Secretary through the eFAP system, and sent by email to:

Brian B. Amoah

Elbert Elliott

/s/ Devlin N. Su

Devlin N. Su Counsel for the Division of Enforcement