

**SECURITIES AND EXCHANGE COMMISSION**

DEPARTMENT OF ENFORCEMENT,

Complainant,

v.

JASON LYNN DIPAOLA  
(CRD No. 2648836),

Respondent.

FINRA Disciplinary Proceeding  
No. 2018057274302

**RESPONDENT JASON LYNN DIPAOLA'S MOTION TO DISMISS**

**JASON DIPAOLA**

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Respondent Jason Lynn DiPaola (“Respondent” or “Mr. DiPaola”), dated October 9th, 2023, respectfully submits Respondent’s Motion to Dismiss to the Securities and Exchange Commission (“SEC”) appealing the NAC ruling of suspending Mr. DiPaola’s license for 4 years and fining him \$40,000.

Enforcement requested an extension to file their Brief in Response which the SEC granted. They were given a deadline of September 28<sup>th</sup>, 2023 to file that brief (1). That brief is now 12 days late, it is difficult to believe that with Enforcements manpower and virtually unlimited resources that they somehow forgot to file. It is clear that they have taken this case as far as they are willing to take the case given the flimsy false accusations from the get go. As such the accusations against Mr. DiPaola should be dropped immediately and the case cleared from Mr. DiPaola’s record.

Dated: Babylon, New York  
October 9, 2023

Respectfully submitted,

**JASON DIPAOLA**

By: [REDACTED]  
[REDACTED]  
[REDACTED]  
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