



TO: Adam Strege
From: Maria A. Ortiz
Senior Case Specialist
Subject: FINRA Dispute Resolution Services Arbitration Number 22-02722
Adam Strege vs. TD Ameritrade, Inc. Waterhouse Securities, Inc., TD Waterhouse
Investor Services, Inc., et. al.
Date: December 1, 2022

FINRA has determined that pursuant to FINRA Rule 12203(a), the Director denies the use of the forum.

If you have any questions, please do not hesitate to contact Maria A. Ortiz at 312-899-4419 or by email at Maria.Ortiz@finra.org.

Sincerely,

Richard Berry
Executive Vice President
212-858-4307
richard.berry@finra.org

MAR:mar:LC53W
idr: 04/07/2022

RECIPIENTS:
Adam Strege, [REDACTED]
On Behalf Of: Adam Strege

Adam Strege 12/6/2022 [REDACTED]

Adam Paul Strege