

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-21162

In the Matter of

LEE SOBEL,

Respondent.

STATUS REPORT

The Division of Enforcement of the Securities and Exchange Commission (the “Division”) respectfully submits this Status Report and Notice of Service pursuant to the Commission’s December 11, 2023 Second Order Regarding Service. *See Lee Sobel*, Securities Exchange Act Rel. No. 99139 (December 11, 2023) (the “Order”). The Order directed the Division to file a status report providing additional information regarding its belief that Lee Sobel (“Sobel”) was validly served under Rule 141.

As previously reported, on June 23, 2023, the Division sent a copy of the OIP to a process server to personally serve Sobel. Between June and late August 2023, the process server made several attempts to serve Sobel, but those attempts were unsuccessful. In searching their skiptrace databases, the process server located an address reported for Sobel at a post office box in Gardena, California. *See Exhibit 1* (Declaration of Delaney Jean Wyatt). After the process server notified the Division of that address, the Division mailed the OIP to Sobel by certified mail at that post office box on August 22, 2023. *See Exhibit 2* (Certified Mail envelope and receipt addressed to

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Respondent). On September 7, 2023 the package was picked up at the post office. *See* Exhibit 3 (United States Postal Service Tracking).

Respectfully submitted this 13th day of February, 2024.



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CERTIFICATE OF SERVICE

Pursuant to Rule 150(a) of the Commission's Rules of Practice, I hereby certify that on the 13th day of February, 2024, I caused to be served a true and correct copy of the foregoing **STATUS REPORT** to the following persons entitled to notice as follows:

Via U.S.P.S. First Class Mail

Mr. Lee Sobel



Respondent

/s/ Marlea Furlong
Marlea Furlong