

**UNITED STATES OF AMERICA**  
**Before the**  
**SECURITIES AND EXCHANGE COMMISSION**

**ADMINISTRATIVE PROCEEDING**  
**File No. 3-21160**

**In the Matter of**

**SEAN ANDREW O'NEAL,**

**Respondent.**

**DIVISION OF ENFORCEMENT'S NOTICE  
OF SERVICE AND STATUS REPORT**

The Division of Enforcement of the Securities and Exchange Commission (the "Division") respectfully submits this Status Report pursuant to the Commission's June 13, 2023 Order Directing Status Report from the Division of Enforcement. *See Sean Andrew O'Neal*, Securities Exchange Act Rel. No. 97714 (June 13, 2023) (the "Order"). The Order directed the Division to file a status report concerning service of the order instituting administrative proceedings ("OIP") in this matter, and every 28 days thereafter until service is accomplished.

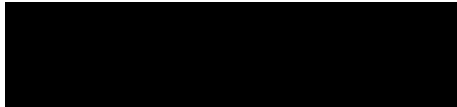
The Division reports that the Office of the Secretary attempted to serve Sean Andrew O'Neal ("O'Neal") with a copy of the OIP by sending a copy via certified mail to his last known address on or about September 27, 2022. This certified mail was unclaimed, and later returned to sender.

Thereafter, the Division arranged for personal service of Respondent and on June 26, 2023, Respondent O'Neal was personally served the OIP. *See Exhibit 1* (signed Return of Service).

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Respectfully submitted this 17th day of July, 2023,



Casey R. Fronk (Ill. Bar No. 6296535)  
Michael E. Welsh (Mass. Bar No. 693537)  
United States Securities and Exchange Commission  
Salt Lake Regional Office  
351 S. West Temple, Suite 6.100  
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(801) 524-5796  
*Counsel for the Division of Enforcement*

**CERTIFICATE OF SERVICE**

Pursuant to Rule 150(a) of the Commission's Rules of Practice, I hereby certify that on the 17th day of July, 2023, I caused to be served a true and correct copy of the foregoing **DIVISION OF ENFORCEMENT'S NOTICE OF SERVICE AND STATUS REPORT** to the following persons entitled to notice as follows:

*Via U.S.P.S. First Class Mail*

Mr. Sean Andrew O'Neal

[REDACTED]  
[REDACTED]

*Respondent*

*/s/ Marlea Furlong*  
Marlea Furlong