

**UNITED STATES OF AMERICA**  
**Before the**  
**SECURITIES AND EXCHANGE COMMISSION**

**ADMINISTRATIVE PROCEEDING**  
**File No. 3-21160**

**In the Matter of**

**SEAN ANDREW O'NEAL,**


**Respondent.**

**STATUS REPORT**

The Division of Enforcement of the Securities and Exchange Commission (the "Division") respectfully submits this Status Report pursuant to the Commission's December 7, 2023 Order to Show Cause. *See Sean Andrew O'Neal*, Securities Exchange Act Rel. No. 99101 (December 7, 2023) (the "OTSC").

On June 26, 2023, the Order Instituting Proceedings ("OIP") was personally served on Sean Andrew O'Neal ("O'Neal"). O'Neal did not file an answer within the required 20 days of service and the Commission ordered him to show cause by December 21, 2023 why he should not be in default. The Division reports that it is currently in settlement negotiations with O'Neal and will update the Commission with the status of those negotiations within 30 days of this status report.

Respectfully submitted this 9th day of February, 2024.

  
Casey R. Fronk (Ill. Bar No. 6296535)  
United States Securities and Exchange Commission  
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(801) 524-5796  
*Counsel for the Division of Enforcement*

Service List

Pursuant to Rules 150 and 151 of the Commission's Rules of Practice, I hereby certify that a true and correct copy of the foregoing, was served on each of the following, on February 9, 2024, in the manner indicated below.

By U.S. Mail

Sean Andrew O'Neal

[REDACTED]

*Respondent*

/s/ Marlea Furlong  
Marlea Furlong