December 23, 2023

Admin Proc. File No. 3-20811

JAN 1 7 2024

OFFICE OF THE SECRETARY

In the Matter of

Bruce Zipper and Dakota Securities International, Inc.
For Review of Disciplinary Action Taken by

FINRA

Letter Requesting Decision to be Reached in the Case Listed Above

ATT: SEC Secretary, Vanessa A. Countryman

Dear Madam Secretary,

My name is Bruce Zipper. I am a pro se litigant in the case listed above. I am writing you this letter because I have exhausted all means getting the SEC to render a decision in this case. This case started about 6 years ago and is still active. I have tried sending numerous motions through the eFAP system, as I was instructed to do, letting the commission know that I am 75 years old. I was

would have hoped considering my age and poor health condition the SEC would have come to a decision by now. I have offered to come to Washington, D.C. and sit down with FINRA and the SEC and answer all questions, under oath, if that would speed up this process to get this case resolved. I have yet to get an answer from the SEC other than 4 straight orders to get 3 month extensions without any update or status as to what is going on and why this delay. I and my

family have been irreparably harmed by FINRA and I am desperately waiting to go to Federal Court and have a judge rule on my appeal. Of course, I cannot do that until the SEC is finished with its decision in the case. I know Ms. Countryman you are extremely busy with more important matters, but I am pleading with you to please investigate my case and see if you can push this matter forward. I, as I have mentioned, am not an attorney. I have fought this case by myself for the last 6 years to get back my reputation and fix a terrible wrong that has happened to me. I was informed that there is another option for me to possibly speed this process up. It is called filing a petition of mandamus through the Federal District Court. I do not want to do that but if I continue to get no response from all my pleas I will be left with no other choice. I am not expected to live another 10 months. Please help me. If a final decision cannot happen in the next 60 days, can I at least get a status report as to what is going on and what issues the SEC is struggling with. That would certainly be helpful. I will send a copy of this letter through the eFAP system as well in case you never receive this letter. Naturally, I certify to you that I will send a copy of this letter to Mr. Michael Smith, the attorney for FINRA in this case.

Thank you very much for your time and consideration in this matter.

Sincerely,
Bruce Zipper