

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-20531

In the Matter of

**Horter Investment Management, LLC
and Drew K. Horter,**

Respondents.

JOINT NOTICE OF SETTLEMENT DISCUSSIONS

The Division of Enforcement (“Division”) and Respondents Horter Investment Management, LLC and Drew K. Horter (collectively, the “Parties”) are currently engaged in settlement discussions. The Division will update the Commission on the status of the Parties’ negotiations in the coming days.

Dated: August 22, 2024

Respectfully submitted,

/s/ Alyssa A. Qualls

Alyssa A. Qualls

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U.S. Securities and Exchange Commission

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CERTIFICATE OF SERVICE

I hereby certify that I caused a true copy of the foregoing Joint Notice of Settlement Discussions to be served on the following on this 22nd day of August, 2024, in the manner indicated below:

BY EMAIL

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Dated: August 22, 2024

/s/ Alyssa A. Qualls

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