UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION

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In the Matter of

PANKAJ KUMAR SRIVASTAVA

AND

NATARAJ KAVURI,

Respondents.

ADMINISTRATIVE PROCEEDING File No. 3-16267

DIVISION OF ENFORCEMENT'S STATUS REPORT ON ITS EFFORTS TO SERVE THE RESPONDENTS

On December 9, 2014, Judge Foelak issued a Postponement Order that directed the Division of Enforcement ("Division") to provide status reports on its efforts to serve the Respondents, every sixty days beginning on January 23, 2015. The Division hereby provides the following status report:

- 1. Based on information obtained in its investigation, the Division believes that the Respondents reside in India. The Division is attempting to serve the Order Instituting Proceedings (OIP) on them in India by two methods, concurrently: (1) via the India Central Authority in accordance with the Hague Service Convention for Service Abroad of Judicial and Extrajudicial Documents in Civil or Commercial Matters (Hague Service Convention); and (2) via service made by the Commission's counterpart in India, the Securities and Exchange Board of India (SEBI).
- 2. With respect to the first method, the Division is awaiting information from the India Central Authority on its efforts to serve the Respondents through the Hague Service Convention.

- 3. With respect to the second method, on December 31, 2014, the SEBI reported the results of its efforts to serve the OIP upon the Respondents.
- a. Concerning Respondent Srivastava, the SEBI so far has attempted personal service on him at two locations. At one of the locations, Srivastava was not present, and it was unclear if he resided there at all. Nonetheless, the SEBI affixed the OIP at that address. At the other location, the SEBI reported that an individual living at that location advised the agent that one of Respondent's relatives had previously lived there but had vacated the premises.
- b. Concerning Respondent Kavuri, the SEBI had a single address. But its attempt to serve him there was unsuccessful, as Kavuri had apparently vacated the premises.
- 4. In addition to awaiting information from the India Central Authority, the Division is also presently working with the SEBI, still further, in ongoing efforts to attempt to serve the Respondents at additional locations where the Division believes they may be found.

Dated: January 23, 2015

Respectfully submitted,

DIVISION OF ENFORCEMENT

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