

Gerald J. Lodovico

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SEP 17 2014
OFFICE OF THE SECRETARY

To: Office of the Secretary
U.S. Securities and Exchange Commission
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Washington, DC 20549

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Cc: Alan Lawhead, Esq.
Office of the General Counsel FINRA
1785 K Street, NW
Washington, DC 20006

3-16131

FAX: (213)617-3299

Re: Gerald Joseph Lodovico, CRD No. 2623141
Matter No. 2018085714001

Date: September 18, 2014

To Whom It May Concern:

I am seeking to appeal FINRA's decision to bar me from association with any member for life for the following reasons:

1. In October of 2013 I informed my Broker Dealer Trustmont Group that I was voluntarily relinquishing my licenses (Series 6 & 63) for life
2. The state tax liens that were in question were all reported to my broker dealer with the exception of the last one—I was completely unaware of the lien being levied against me
3. I failed to respond to FINRA in a timely manner because I assumed that since I was voluntarily relinquishing my licenses, the point was moot (a regrettable mistake on my part)

I have no plans to seek reinstatement; however, a lifetime ban, especially in light of the internet and today's informational access would tarnish my reputation irreparably.

It is my hope that you will reconsider your decision and allow me to exit quietly from the industry without incident.

Sincerely Yours,

Gerald J. Lodovico