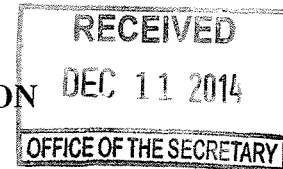


HARD COPY

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION



In the Matter of

THOMAS A. NEELY, JR.

Respondent.

Admin. Pro. File No. 3-15945

**RESPONDENT THOMAS A. NEELY, JR. 'S
WITNESS AND EXHIBIT LIST**

Pursuant to this Court's Prehearing Scheduling Order of October 29, 2014,
Respondent THOMAS A. NEELY, JR. hereby files the following Witness and Exhibit List.

WITNESSES

Name	Address	Summary of expected testimony
1. Aderhold, Thomas Nelson	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
2. Allen, Karin	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for

		the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
3. Baldwin, John	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
4. Barrentine, Carey	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
5. Bittner, Wayne	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
6. Bruni, Oscar	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United

		States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
7. Carrigan, James Patrick	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
8. Cash, Jeffrey L.	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
9. Chandler, Darlene	[REDACTED]	Expected testimony from this witness includes testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
10. Cooley, Michael	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
11. Corrigan, Scott	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed

		by the Securities and Exchange Commission and Mr. Neely's Answer to same.
12. Couch, Brett D.	[REDACTED]	Expected testimony from this witness includes testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
13. Edmonds, David	[REDACTED]	Expected testimony from this witness includes testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
14. Erwin, Joseph	[REDACTED]	Expected testimony from this witness includes testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
15. Esteves, Irene	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
16. Ferino, Scarlett	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
17. Florey, David P.	[REDACTED]	Expected testimony from this witness includes matters related to examinations of Regions Bank by the State of Alabama State Banking

	[REDACTED]	Department, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
18. Florio, Andrea	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
19. Fox, Roger	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
20. Godin, Barbara	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
21. Goodson, Lori	[REDACTED]	Expected testimony from this witness includes matters related to the memo documenting Ernst & Young's consideration of the Formal Legal Investigation by the Regulators concerning 2009 non-accrual regulator exam and related ethics complaints as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.

22. Haines, Grant	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, matters related to the memo documenting Ernst & Young's consideration of the Formal Legal Investigation by the Regulators concerning 2009 non-accrual regulator exam and related ethics complaints as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
23. Haley, John Patrick	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
24. Hall, Grayson	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
25. Hodges, Mike	[REDACTED]	Expected testimony from this witness includes matters related to the May 2009 Federal Reserve Examination of Regions Bank as well

	[REDACTED]	as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
26. Jackson, Douglas	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
27. Jarema, Mark	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
28. Johnson, Lynn	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
29. Jordan, David Bryan	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.

<p>30. Kimbrough, Hardy Bradford, Jr.</p>	<p>[REDACTED]</p>	<p>Expected testimony from this witness includes matters detailed in this witness's testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i>, Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.</p>
<p>31. Korte, Robert</p>	<p>[REDACTED]</p>	<p>Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.</p>
<p>32. Kuehr, Jeffrey C.</p>	<p>[REDACTED]</p>	<p>Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i>, Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.</p>
<p>33. Laney, Timothy</p>	<p>[REDACTED]</p>	<p>Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.</p>
<p>34. LeCroy, Charles</p>	<p>[REDACTED]</p>	<p>Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed</p>

	[REDACTED]	by the Securities and Exchange Commission and Mr. Neely's Answer to same.
35. Lewis, David	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
36. Logsdon, Sharri	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
37. MacFaddin, Douglas	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
38. Mackey, Shelby	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
39. Mayoros, Trudy	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's testimony given in <i>Local 703, I.B. of T. Grocery and Food</i>

	[REDACTED]	<i>Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
40. McCrite, Andrew	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
41. McCarthy, Timothy	[REDACTED]	Expected testimony from this witness includes testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
42. Mead, Lisa	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
43. Moore, Stephanie	[REDACTED]	Expected testimony from this witness includes testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
44. Neely, Thomas A. Jr.	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.

45. Papke, David K.	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
46. Payne, Robert	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
47. Peterson, Rand	[REDACTED]	Expected testimony from this witness includes testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
48. Ritter, Dowd	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
49. Roberts, John	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed

		by the Securities and Exchange Commission and Mr. Neely's Answer to same.
50. Rogers, Craig	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
51. Schulman, Jeffrey	[REDACTED]	Expected testimony from this witness includes testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
52. Sheehy, Tracy	[REDACTED]	Expected testimony from this witness includes testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
53. Smith, Michael	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
54. Stanley, Allen	[REDACTED]	Expected testimony from this witness includes matters related to the May 2009 Federal Reserve Examination of Regions Bank as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
55. Swagler, Rick	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as

		testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
56. Teegarden, William	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
57. Turner, David	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
58. Underwood, M. List, Jr.	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
59. Wambles, Gwen	[REDACTED]	Expected testimony from this witness includes testimony related to the facts and circumstances

	[REDACTED]	alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
60. Wells, William C., II	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
61. Wheeler, Trey	[REDACTED]	Expected testimony from this witness includes matters related to the May 2009 Federal Reserve Examination of Regions Bank as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
62. Willoughby, Michael J.	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
63. Yother, Alton E.	[REDACTED]	Expected testimony from this witness includes matters detailed in this witness's testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as

		testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
64. Any expert designated by the Division	[REDACTED]	Expected testimony from this witnesses(s) would include matters detailed in the witness's expert report, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.

Mr. Neely expressly reserves the right to supplement and/or amend this Witness List, as well as the right to call such impeachment and/or rebuttal witnesses as may become necessary in response to any testimony or evidence presented by or on behalf of the Division in this action. Mr. Neely further reserves the right to call any witness that is identified on the Division's witness list and/or any supplement or amendment thereto.

EXHIBITS

R. Exh. Exhibit No.	Brief Document Description	Bates Range
R. Exh. 1	Designer's Choice Cabinetry loan binder	Regions_041212SECS subpoena 0002266-2782
R. Exh. 2	Eighteen Investments, Inc. loan binder	Regions_041212SECS subpoena 0000001-451
R. Exh. 3	First West Cutler Gardens LLC loan binder	Regions_041212SECS subpoena 0001088-1375
R. Exh. 4	Glove Factory Holdings LLC loan binder	Regions_041212SECS subpoena 0003596-3973
R. Exh. 5	Jones & Jones Investments LLC loan binder	Regions_041212SECS subpoena 0001723-1994
R. Exh. 6	Kicklighter Custom Homes Inc. loan binder	Regions_041212SECS subpoena 0003974-4332

R. Exh. 7	McCar Development Corp. loan binder	Regions_041212SECSubpoena 0004333-4728
R. Exh. 8	Oak Ridge Land Company LLC loan binder	Regions_041212SECSubpoena 0004729-5285
R. Exh. 9	Paramount Saturn, Ltd. loan binder	Regions_041212SECSubpoena 0005286-5445
R. Exh. 10	Resorts Construction LLC loan binder	Regions_041212SECSubpoena 0000452-1087
R. Exh. 11	River Glen LLC loan binder	Regions_041212SECSubpoena 0001995-2265
R. Exh. 12	Seahaven Finance LLC loan binder	Regions_041212SECSubpoena 0005446-5774
R. Exh. 13 R. Exh. 14	Richland Investments loan binder and Appendix A Book	Regions_041212SECSubpoena 0002783-3595
R. Exh. 15	Waters Edge One LLC loan binder	Regions_041212SECSubpoena 0005775-6252
R. Exh. 16	WilVal LLC loan binder	Regions_041212SECSubpoena 0001376-1722
R. Exh. 17	Exchange (1) -- Chart of Loans; undated	No Bates Label
R. Exh. 18	Exchange (2) -- Materiality of Loans; undated	No Bates Label
R. Exh. 19	Credit Review Report for Business Services	FRB ATLO 18065-18083
R. Exh. 20	4/21/2009 Email re: directed downgrades	REG00118395
R. Exh. 21	6/23/2010 Shields email to Jarema re: NAP Northpoint	REG00137908-910
R. Exh. 22	11/13/2009 Federal Reserve Targeted Credit Report	No Bates label
R. Exh. 23	8/27/2009 Letter from Johnson to Jarema re: NPLs	REG00100645-646
R. Exh. 24	10/19/2009 Email from Willoughby re: Papke Notes from Credit Review	REG00100188 - 100189
R. Exh. 25	1/20/2009 Email from Florio re: AQF	REG00443036 - 443039
R. Exh. 26	3/11/2009 AQF Summary	REG00098555 - 98559

R. Exh. 27	Credit Division – Summary for the Week Ended March 13, 2009	No Bates label
R. Exh. 28	3/23/2009 Credit Quality Update to Exec Council	REG01382556 - 1382557
R. Exh. 29	10/26/2009 Commercial Risk Rating Assessment	REG00143328 - 143345
R. Exh. 30	Commercial Risk Rating Accuracy Report	REG00143322 - 143327
R. Exh. 31	10/19/2009 Neely – Hale emails re: Risk Ratings	REGOO271390 - 271392
R. Exh. 32	10/20/2009 KPMG Statement of Work	REG00347643 - 347648
R. Exh. 33	11/6/2009 Email from Haley re: KPMG Meeting	REGOO272611 - 272612
R. Exh. 34	12/15/2009 KPMG Report on Credit File Review	REG00640958 - 640970
R. Exh. 35	1/1/2009 Meeting re: January NPLs 2.5MM or Greater	REG00695208 - 695209
R. Exh. 36	3/4/2009 Email from Fox to Korte re: Resorts Construction	REG00288248 - 288251
R. Exh. 37	3/7/2009 Kuehr Email re: AQF Changes	REG00055598
R. Exh. 38	7/2/2009 Letter from Kuehr to Regulators with Narratives	REG00017805-17830
R. Exh. 39	3/16/2009 Email from Kuehr re: NPLs	REG01383155 – 1383156
R. Exh. 40	1/20/2009 Willoughby Memo to Exec Council re: 4Q2008	No Bates Label
R. Exh. 41	4/15/2009 Willoughby Memo to Exec Council re: 1Q2009	No Bates Label
R. Exh. 42	4/13/2009 Vinson Email re: 1Q2009 earnings call excerpts	REG00397497 -397499; REG 00397506 – 397507; REG00397512; REG00397514; REG00397525; REG00397530; REG00397534 – 397535; REG00397543

R. Exh. 43	4/30/2009 Willoughby Emails re: 4.30.09 NPLs	REG00055306 - 55308
R. Exh. 44	5/14/2009 Kuehr Email re: Open Items for Exam Disc	REG00054432
R. Exh. 45	7/20/2009 Mackey Email re: March April NPL Mods	REG00314789 - 314795
R. Exh. 46	8/17/2009 Ritter Neely Meeting Notice re: Directed Downgrades	REG00114599
R. Exh. 47	8/20/2009 Neely Meeting Notice re: Directed Downgrades	REG00114600
R. Exh. 48	3/24/2009 Esteves Email to Credit re: Forecast Assumptions	REG00726928 - 726936
R. Exh. 49	1/7/2009 Kuehr Email re: 1Q2009 Targets	REG00399460
R. Exh. 50	5/20/2009 Aderhold Narratives for Loans	REG01383151 - 1383152
R. Exh. 51	3/17/09 Email from Neely to Kuehr et al. re: Seahaven	REG00056073 - 56074
R. Exh. 52	5/27/2009 Kuehr Email to Aderhold and Papke	REG00266885 - 266888
R. Exh. 53	2/10/2009 Email from Aderhold to Florio with cc to Papke and others re: Updated AQF.	REG00846609
R. Exh. 54	3/3/2009 Designers Choice Commercial Workout Strategy	REG00868024 - 868025
R. Exh. 55	5/19/2009 Cash, Papke Memo re: Waters Edge	REG00018288 - 18289
R. Exh. 56	4/27/2009 Email from Kuehr to Willoughby, cc: to Neely re: Open Items on Credit Review Loan Discussions	REG00549437 - 549441
R. Exh. 57	Nonaccrual Process Exam Summary	REG00806697 - 806698
R. Exh. 58	2/6/2009 Email from Bruni to Corrigan re: Change Request Form	REG00153736

R. Exh. 59	5/18/2009 Email from Corrigan to Bruni et al. re: Fed Request	REG00055323 - 55325
R. Exh. 60	5/21/2009 Email from Corrigan to Kuehr re: First West Cutler Gardens	REG00646786
R. Exh. 61	5/17/2009 Email from Sheehy to Neely et al. re: Non Accrual Discussion Notes	REG00018091 - 18100
R. Exh. 62	3/16/2009 Email from Aderhold to Jim Moore forwarding 3/13/2009 email from Papke to Aderhold re: Kicklighter Custom Homes	REG00057730-31
R. Exh. 63	1/23/2009 Credit Review Linesheet	REG00094110 - 94115
R. Exh. 64	3/18/2009 Email Re-Loan Discussion with Credit Review	REG00060234 - 60236
R. Exh. 65	4/15/2009 Kuehr Emails re: Glove Factory	REG00265787 – 265790
R. Exh. 66	4/20/2009 Kuehr Emails with Teegarden re: Glove Factory	REG00057600 - 57604
R. Exh. 67	5/18/2009 Kuehr Email re: Fed Request for Information	REG00055675 - 55676
R. Exh. 68	5/29/2009 Kuehr Letter to Fed re: Non-Accrual Status Narrative Documents	No Bates Label
R. Exh. 69	3/17/2009 Smith Email to Neely re: McCar	REG00055251
R. Exh. 70	5/19/2009 Hutchison Email re: McCar Talking Points	REG00717114 - 717118
R. Exh. 71	4/23/2009 Corrigan Email re: Rules Based and NPAs	REG00268732 - 268734
R. Exh. 72	10/20/2008 Memo from Willoughby to Exec. Council re: Quarterly Review	No Bates Label
R. Exh. 73	1/20/2009 Memo from Willoughby to Exec. Council	No Bates Label
R. Exh. 74	4/15/2009 Memo from Willoughby to Exec. Council	No Bates Label
R. Exh. 75	2/12/2009 Neely-Wells Emails re: 1Q2009 Goals – NPL Forecast	REG00274769-771

R. Exh. 76	2/23/2009 Executive Council Minutes	REG01387971 – 1387972; REG01388311 - 1388311
R. Exh. 77	3/31/2009 Shields Email to Kuehr re: 4Q08 FAS Review	REG00119366 - 119367
R. Exh. 78	5/1/2009 Johnson Email to Jarema re: Team Feedback	REG00118252 - 118253
R. Exh. 79	8/27/2009 Regulatory Letter to Ritter	No Bates Label
R. Exh. 80	11/9/2009 Executive Council Minutes	REG00093052 - 93053
R. Exh. 81	2/19/2009 Willoughby Email to Godin, et al. re: Credit Forecast	REG00407929-930
R. Exh. 82	3/17/2009 Godin Email to Willoughby re: Quarter End	REG001111690 - 1111691
R. Exh. 83	Kuehr Email to McCrite re: March Emails – 5/20/09	REG00017904 - 17923
R. Exh. 85	8/6/2009 Neely and Willoughby Emails	REG00402014 - 402015
R. Exh. 86	2/16/2009 Regions Required Approvals for Non-Accruals and Charge-Offs	REG00094600 - 94603
R. Exh. 87	3/13/2009 Email from C. Barrentine to Willoughby re: Call with Bill	REG00567324
R. Exh. 88	Willoughby Notes re: Inplay Loans and NPLs	REG00111686 - 111689
R. Exh. 89	3/17/2009 Email from Willoughby to Wells Subject 1Q Updates	REG00407961
R. Exh. 90	3/20/2009 Email from Willoughby to Esteves re: HFS	REG00408326
R. Exh. 91	3/23/2009 Update on Credit Quality Executive Council	REG01383248-258
R. Exh. 92	3/19/2009 Email from J. Esteves to B. Kimbrough re: Phone Call from John Roberts	(Bates label cut-off & unable to read)
R. Exh. 93	5/28/2009 Email from Kuehr to Branch re: Non-accrual Status Narrative Docs	REG00266880 - 266884

R. Exh. 94	2/21/2009 Email from Kuehr's Regions email to his AOL email re: Richland Investments	REG00060242 - 60243
R. Exh. 95	3/16/2009 Email from Aderhold to Papke re: "Two SAVES"	REG00059140 - 59141
R. Exh. 96	Credit Division - Summary for the Week(s) Ended 2/20/09, 2/27/09, 3/6/09, 3/13/09 and 3/20/09	REG00998256 – 998257; REG00781260 – 781261; REG00998260
R. Exh. 97	1/9/2009 Email from Kuehr to Willoughby re: Special Assets Q1 Targets	REG00399012 - 399014
R. Exh. 98	1/10/2009 Email from Kuehr to himself at AOL re: Report card and goals	REG00399429
R. Exh. 99	3/16/2009 Email from Kuehr to RM re: Change Off-NPL Discussion	REG00018007 - 18013
R. Exh. 100	3/17/2009 Email from Wells to Willoughby re: 1Q Update	REG00405646
R. Exh. 101	5/16/2009 Email from Willoughby re: Open Items with the Examiners	REG00266455 - 266456
R. Exh. 102	5/16/2009 Email from Kuehr to RM re: Review of Q1 Accrual Status Verification Forms	REG00055964 - 55965
R. Exh. 103	5/19/2009 Email from Kuehr to RM re: Preparation of Talking Points for Examiner Discussions	REG00055814 - 55815
R. Exh. 104	5/27/2009 Email from Sheehy to Willoughby re: Information Request from Jay Repine to Doug Jackson	REG00294949 - 294952
R. Exh. 105	5/19/2009 Email from Logsdon to Sheehy, Welch and Florio re: Problem Assets and Policy Revisions	No Bates label
R. Exh. 106	Credit Division – Summary for the Week Ended February 27, 2009	REG00998257
R. Exh. 107	Federal Reserve List of Pulled Loans	No Bates label

R. Exh. 108	5/28/09 Email from Kuehr to Willoughby re: Draft of Cover Letter	REG00404843
R. Exh. 109	3/16/09 Email from Kuehr to RM re: Charge Off/NPL Discussion	REG00018007-18008
R. Exh. 110	6/5/2009 Email from M. Willoughby to Neely re: AQF	REG00378092 - 378093
R. Exh. 111	2/1/2010 Regions Management Incentive Plan	Regions_012913FEDSubpoena_0000629-632
R. Exh. 112	1/20/2009 Allowance for Loan and Lease Losses Memo from Willoughby	Regions_051512SECSubpoena_0001356-64
R. Exh. 113	4/15/2009 Allowance for Loan and Lease Losses Memo from Willoughby	Regions_051512SECSubpoena_0001658-66
R. Exh. 114	Modifications from SAD Regional Managers 3/13/09 to 3/27/09	No Bates label
R. Exh. 115	Exhibit 1-A Allowance for Credit Losses 1Q2009; Regions Audit Committee 4/17/09	Regions_051512SECSubpoena_0006534; Regions_051512SECSubpoena_0006535-37
R. Exh. 116	Report of Allowance for Loan and Lease Losses Methodology by LECG	Regions_061312SECSubpoena_0015023-40
R. Exh. 117	3/16/2009 Compilation of emails between TAN and Jordy Henson and other emails re: Glove Factory	REG00293200 – 293201; REG00289437; REG00292947-48; REG00291660; REG00290350; REG00298901 – 298902; REG00056396 - 56401
R. Exh. 118	3/17/2009 Emails between Barrentine, Kuehr, Neely, Godin and Willoughby re: 90dpd re-up	Regions_111813SECSubpoena_0000291
R. Exh. 119	Regions 10-Q for period ended 3/31/09	No Bates label
R. Exh. 120	Neely W-2 and Pay Records for 2009	Regions012913SECSubpoena_0000553-55
R. Exh. 121	11/30/2010 Neely Severance and Release Agreement	Regions031114SECSubpoena_0000145-48
R. Exh. 122	12/1/2010 Neely Termination/Retirement Document	Regions_031114SECSubpoena_0000102

R. Exh. 123	Neely W-2 for 2010	Regions031114SECSubpoena_0000141
R. Exh. 124	Regions Accounting Policy Manual - Loans Held for Sale - updated as of 9/15/08	Regions031114SECSubpoena_0000722
R. Exh. 125	1/26/2009 Emails between Logsdon, Neely and Kuehr re: quick notes for AQF updates	REG00402373-75
R. Exh. 126	Loan Evaluation Office – HFS Additions – 2Q09	Regions031114SECSubpoena_0000931
R. Exh. 127	3/17/2009 Emails between TAN and Roger Fox re: Resorts Construction	REG00055250
R. Exh. 128	4/21/2009 Senior Lead SOX/FDICIA Certification to the SEC Filing Review Committee	Regions_103013SECSubpoena_0000022-23
R. Exh. 129	4/21/2009 Schedule A to Senior Lead SOX/FDICIA Certification to the SEC Filing Review Committee	Regions_103013SECSubpoena_0000026
R. Exh. 130	4/29/2009 Regions Press Release	No Bates label
R. Exh. 131	Regions Code of Business Conduct and Ethics March 2009	Regions_061314SECRequest_0000026-51
R. Exh. 132	8/27/2009 Regions computer printout re: Neely	Regions_061314SECRequest_0000079-81
R. Exh. 133	1/9/2009 E&Y Regions - Review of Credit Risk Ratings for Selected CRE Loans	EY-RFC-GMX-08 000643 -- 652
R. Exh. 134	January 2009 E&Y Chart re: Richland and Waters Edge	EY-RFC-GMX-08 001300 -- 1404
R. Exh. 135	1Q09 Asset Quality Forecast ("AQF") Report; Week of January 28, 2009	REG 278490 - 605
R. Exh. 136	1Q09 AQF Report; Week of January 21, 2009	REG 280448 - 551
R. Exh. 137	1Q09 AQF Report; Week of February 4, 2009	REG 364224 - 353

R. Exh. 138	1Q09 AQF Report; Week of February 11, 2009	REG 365572 - 669
R. Exh. 139	1Q09 AQF Report; Week of January 14, 2009	REG 1336692-826
R. Exh. 140	Regions Problem Loan Report for Period Ending February 28, 2009	REG00005838-5842
R. Exh. 141	3/16/2009 "Two SAVES" email from Aderhold to Kuehr; ccs to Baldwin, Farmer, and Willoughby	REG00018017
R. Exh. 142	2/27/2009 Emails between Florio and Kuehr re: accrual status of Richland Investments; forwarded from Aderhold to Kuehr 5/13/2009	REG00028826-27
R. Exh. 143	5/29/2009 Emails re: follow-up on Paramount and Timberline	REG00028965-66
R. Exh. 144	5/15/2009 Letter from Willoughby to Wheeler and Florey re: Richland; cc to Wells and Jackson	REG00029107-109
R. Exh. 145	3/3/2009 Email from Farmer to SAD, cc to Florio, re: March Rules Based Reports - Due March 6 by Noon	REG00054393-97
R. Exh. 146	5/14/09 list to team - open items from examiner discussion	REG00054431-32
R. Exh. 147	3/18/2009 Email from Mackey re: Paramount Saturn	REG00054797-99
R. Exh. 148	3/20/09 Email from Mackey to Kuehr re: Paramount Saturn	REG00055399-400
R. Exh. 149	3/20/09 Emails re: Paramount Saturn	REG00055536-38
R. Exh. 150	4/21/09 Emails re: Glove Factory	REG00055578-79
R. Exh. 151	Memo: Glove Factory Summary of Strategy & Action Plan 9/30/08-3/31/09	REG00055580-82
R. Exh. 152	3/27/09 – Mark Reiber to Baldwin & Chandler re: Paramount Saturn	REG00055677-82

R. Exh. 153	2/27/09 Email re: Richland	REG00055725
R. Exh. 154	3/26/2009 Emails among Rick Baker, Corrigan, and Bruni re: Coconut Palms Estates	REG00055773-76
R. Exh. 155	3/16/09 Call In notice for Charge Off/NPL and forwarded emails	REG00055793-94
R. Exh. 156	Special Assets Position on Risk Rating and Accounting Treatment as of 12/31/08	REG00055816-23
R. Exh. 157	3/16/2009 Farmer email to Aderhold re: "Two SAVES" email	REG00055851-52
R. Exh. 158	5/13/2009 Email from Aderhold to Kuehr re: Richland	REG00055931
R. Exh. 159	4/30/2009 Series of emails re: "No Change to SAD Rating Although Further Documentation Required"	REG00056051-55
R. Exh. 160	3/11/2009 Aderhold email re: Richland	REG00056235-38
R. Exh. 161	10/9/2008 Baldwin Email	REG00389979-389984
R. Exh. 162	1Q09 AQF Report; Week of February 25, 2009	REG 284005-172
R. Exh. 163	1Q09 AQF Report; Week of February 18, 2009	REG 284173-337 and REG 283529-558
R. Exh. 164	1Q09 AQF Report; Week of March 4, 2009	REG 366290-455
R. Exh. 165	1Q09 AQF Report; Week of March 11, 2009	REG 367007-181 and REG 366688-691
R. Exh. 166	8/6/2009 Emails by Jackson and Florey re: Credits Reviewed by Dave Florey in the Nonaccrual Review	REG00386146-47
R. Exh. 167	5/19/2014 Email from Korte to Kuehr re: Eighteen Investments - Fed Exam	REG00018292-93
R. Exh. 168	5/20/2009 Email from Aderhold to Kuehr re: March changes to NPA	REG00018300-301

R. Exh. 169	11/30/2009 Letter Neely to Wheeler and Florey re: June 2009 Commercial Credit Review	REG00029847-52
R. Exh. 170	5/18/2009 Emails re: Open Items with the Examiners	REG00054429-30
R. Exh. 171	4/30/2009 Emails by Kuehr and Willoughby re: No Change to SAD Rating Although Further Documentation Required	REG00055568-70
R. Exh. 172	4/6/2009 email discussion re: Glove Factory loan	REG00056310-13
R. Exh. 173	2/19/2009 Email - minutes from 2/13/09 SAD/Credit/Line partnership meeting	REG00056380-83
R. Exh. 174	3/20/2009 - 3/22/2009 email conversations re: Paramount Saturn	REG00056419-21
R. Exh. 175	3/27/2009 Emails re: Paramount Saturn	REG00057144 -- 48
R. Exh. 176	2/23/2009 Emails re: Richland Investments Proposed Term Sheet	REG00057186-87
R. Exh. 177	4/10/2009 Seahaven RR Change Form-- change from 47 to 70	REG00057249-50
R. Exh. 178	3/11/2009 -- 3/12/2009 email exchange re: Kicklighter	REG00057255 - 56
R. Exh. 179	5/21/2009 Email from Bell to Hoog re: Seahaven	REG00057413
R. Exh. 180	3/27/2009 Email re: Paramount Saturn	REG00057724-27
R. Exh. 181	3/17/2009 Email from Smith to TAN re: McCar	REG00057905
R. Exh. 182	3/17/2009 Emails re: Seahaven	REG00058083-84
R. Exh. 183	3/19/2009 Emails re: Paramount Saturn NPL	REG00058208-14
R. Exh. 184	3/11/2009 Emails re: Kicklighter	REG00059026
R. Exh. 185	5/19/2009 Email from Korte to Kuehr re: Eighteen Investments - Fed Exam	REG00059221

R. Exh. 186	3/27/2009 Emails re: Richland	REG00059235-36
R. Exh. 187	3/16/2009 Papke response to 3/16/2009 "Two SAVES" email from Aderhold to Kuehr; ccs to Baldwin, Farmer	REG00059277-78
R. Exh. 188	4Q 2008 discussion of In-Play NPLs	REG00059329-30
R. Exh. 189	3/23/2009 Emails re: Paramount Saturn	REG00060251-52
R. Exh. 190	3/16/2009 "Two SAVES" email from Aderhold to Kuehr, as forwarded from Kuehr to Willoughby	REG00060274-75
R. Exh. 191	5/27/2009 Non Accrual Status Narrative and related documents on Jones & Jones	REG00081494-505
R. Exh. 192	3/18/2009 Emails re: Jones & Jones	REG00081506
R. Exh. 193	2008/2009 Emails between Peterson and Buckshot Jones re: delinquent payments	REG00081523 --37
R. Exh. 194	Recommendation to Continue Accrual Status -- Jones & Jones	REG00081603-04
R. Exh. 195	2Q2009 NPL Forecast	REG00083863-64
R. Exh. 196	6/19/2009 Emails re: Possible Deletions to Projected June NPL's	REG00083912-15
R. Exh. 197	3/30/2009 Email from Willoughby to Kuehr re: Addition to NPL for Q1 -- Paramount Saturn	REG00084088
R. Exh. 198	3/24/2009 Memo from Kuehr to Teegarden re: Credit Review Discussions for 3/25/2009	REG00094166-67
R. Exh. 199	8/27/2009 Letter from Mead to Jarema	REG00100645-651
R. Exh. 200	7/24/2009 Email from Jackson to Willoughby and Neely forwarding email from Wheeler, cc to Florey re: Nonaccrual Process Review Loan Downgrades	REG00111277-80

R. Exh. 201	Emails between Barrentine and Wells re: Notes for visit	REG00111297-99
R. Exh. 202	Spreadsheet of Potential Problems Loans as of 12/31/2008	REG00111861-62
R. Exh. 203	5/26/2009 Email chain among Sheehy, Kuehr, Willoughby, Neely, Barrentine, McCrite re: Information Request from Jay Repine and setting meeting to discuss same; 5/29/2009 Kuehr letter to Repine and Florey attaching requested non-accrual status narrative documents for the identified sixteen credits.	REG00296348-49; REG00017835-58
R. Exh. 204	5/27/2009 Email from Neely to Wells and Willoughby re: NPL process review	REG00377903
R. Exh. 205	5/14/2009 Emails from Kuehr and Florio re: Modification List	REG00381079-80
R. Exh. 206	5/19/2009 Emails from Wells and Neely re: June 1st Credit Exam	REG00404184-85
R. Exh. 207	5/22/2009 Emails from Wells and Neely re: June 1st Credit Exam	REG00404551-52
R. Exh. 208	3/14/2009 Florio to Barrentine email re: NPA/CO update, attaching AQF_Roll Forward Recon 3-14-2009.xls	REG00559556
R. Exh. 209	Attached AQF_Roll Forward .xls from 3/14/2009 Florio to Barrentine email re: NPA/CO update	REG00559557-58
R. Exh. 210	6/4/2009 Emails from Dewitt King and McCarthy re: Examination credit: Oak Ridge	REG00709961-62
R. Exh. 211	5/20/2009 Emails from Teegarden and Kuehr re: Non Accrual Review request	REG00710490-92
R. Exh. 212	5/20/2009 Emails from Chandler and Fleming re: Non Accrual Review request	REG00710510-11
R. Exh. 213	5/21/2009 Emails between Smith and Burks re: McCar and 8:30 Cst McCar Discussion Call-In#	REG00717185-86

R. Exh. 214	8/4/2009 Memo from Kuehr to Willoughby re: Clarification Points - FED Line Sheets on Special Assets NPL Process	REG00761133-35
R. Exh. 215	10/21/2009 Email from Haley forwarding to Bittner and Moseley 10/21/2009 Email from Willoughby to Haley and Wells transmitting Risk Rating Accuracy Letter and attached letter from Willoughby to Wheeler and Florey dated 8/24/09	REG00778480-519
R. Exh. 216	Spreadsheet of Potential Problems Loans as of 3/31/2009, obtained from NPL forecast dated 4/9/2009	REG01173780-82
R. Exh. 217	8/31/2009 Letter from Ritter to Estes in follow up to 8/12/09 meeting with Federal Reserve Bank	Regions_061312SECSubpoena_0021484-93
R. Exh. 218	3/13/2009 Email from Willoughby re: \$200MM Error 3/13/09 plus attachments	Regions_062514SECSubpoena_0011896 -900
R. Exh. 219	3/16/2009 Email from Kuehr to RMs with attachment: In Play list 3/16/09 (attachment is R. Exh. 220)	Regions_111813SECSubpoena_0000228
R. Exh. 220	"In Play" NPL Reductions as of 3/11/2009 AQF (attachment to R. Exh. 219)	Regions_111813SECSubpoena_0000229
R. Exh. 221	3/16/2009 "Two SAVES" Email from Aderhold to Kuehr, as forwarded from Kuehr to Willoughby	Regions_111813SECSubpoena_0000235
R. Exh. 222	3/16/2009 Email forward from Kuehr to Mackey of email chain re: Shelby Homes	Regions_111813SECSubpoena_0000240-246
R. Exh. 223	9/14/2009 Neely email (via Stephanie Moore) to Carl Carande (KPMG) re: Review	KPMG REGIONS 0059896
R. Exh. 224	3/20/2009 Email from Kuehr to Barrentine re: weekly update	Regions_111813SECSubpoena_0000623

R. Exh. 225	9/15/2009 Emails between Neely and Wheeler re: Monthly Credit Reports for August	No Bates label
R. Exh. 226	9/25/2009 Letter from Neely to Wheeler and Florey re: Nonaccrual Process and Transaction Testing Review	No Bates label
R. Exh. 227	5/18/2009 Emails between Kuehr and Jackson, cc's to others, re: Phone Call with Mike Hodges and Jay?	REG00697820-21
R. Exh. 228	Memo re: 5/13/2009 kick off meeting between Regions (Willoughby, Neely, Kuehr, Florio, Barrentine, Sheehy, Papke, Aderhold, Cash) and examiners (Hodges, McManus, Kennedy and Repine)	No Bates label

Mr. Neely expressly reserves the right to supplement and/or amend this Exhibit List, as well as the right to designate as additional exhibits any documents that:

- were marked as Exhibits to any investigative testimony taken in this matter;
- are designated as exhibits on the Division's exhibit list and/or any supplement or amendment thereto;
- were exchanged or requested during discovery;
- are necessary for impeachment purposes;
- are necessary for rebuttal purposes;
- are produced pursuant to subpoena subsequent to the filing of this Exhibit List;
- are identified and/or received subsequent to the filing of this Exhibit List;
- are referenced or relied upon by any of the Division's expert witnesses;

- are referenced or relied upon by any of Neely's expert witnesses.

Respectfully submitted,

/s/ Katherine R. Brown

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J. Mark White (ASB-5029-H66J)

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