

UNITED STATES OF
AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES ACT OF 1933
Release No. 10317 / February 28, 2017

SECURITIES EXCHANGE ACT OF 1934
Release No. 80124 / February 28, 2017

INVESTMENT COMPANY ACT OF 1940
Release No. 32516 / February 28, 2017

ADMINISTRATIVE PROCEEDING
File No. 3-14676

In the Matter of

ERIC DAVID WANGER

ORDER GRANTING
IN PART MOTION
FOR EXTENSION
OF TIME TO FILE
BRIEFS

On February 1, 2017, the Commission issued an order scheduling briefs ordering that Eric David Wanger and the Division of Enforcement, by March 1, 2017, each file a brief, not to exceed 5000 words, addressing the question of whether conduct supporting imposition of NRSRO and municipal advisor bars occurred on or after July 22, 2010 and, if so, whether Wanger's request to vacate such bars should be granted, and that each party shall also be permitted to file, by March 31, 2017, an opposition brief, not to exceed 2500 words.⁴

On February 22, 2017, Wanger's counsel, Thomas Sjoblom, filed a request for additional time for briefing. In that request, Mr. Sjoblom states that he did not receive the briefing order until February 21, 2017, as it had been inadvertently mailed to Wanger in care of his prior counsel. However, the briefing order was also sent directly to Mr. Sjoblom on February 2, 2017, by U.S. Postal Service (USPS) Certified Mail, and the USPS's tracking service indicates that the briefing order was signed for by the front desk at Mr. Sjoblom's office address on February 6, 2017.

⁴ See *Eric David Wanger*, Securities Act Release No. 10299, 2017 WL 444136 (February 1, 2017).

In further support of the extension, Mr. Sjoblom cites other litigation matters and upcoming business travel and medical procedures.

Extensions of time are disfavored. Under the circumstances, however, a brief extension of time, as indicated below, is appropriate. Therefore,

IT IS ORDERED that the parties' time for filing opening briefs is extended to March 22, 2017. Any briefs in opposition are due April 21, 2017.

For the Commission, by its Secretary, pursuant to delegated authority.

Brent J. Fields
Secretary