

1 SANDRA J HARRIS, Cal. Bar # 134153  
JAMES A. HOWELL, Cal. Bar # 92721  
2 NICOLAS MORGAN, Cal. Bar # 166441

3 Attorneys for Plaintiff  
Securities and Exchange Commission  
4 Valerie Caproni, Regional Director  
5670 Wilshire Boulevard, 11th Floor  
5 Los Angeles, California 90036-3648  
Phone: (323) 965-3998  
6 Fax: (323) 965-3908

NOV 5 1999  
2:05 PM  
CENTRAL DISTRICT OF CALIFORNIA  
DEPUTY CLERK

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*NOV 15-6*  
**FILED**  
NOV 16 1999  
CLERK, U.S. DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA  
SANTA ANA OFFICE  
DEPUTY

8 UNITED STATES DISTRICT COURT

9 FOR THE CENTRAL DISTRICT OF CALIFORNIA

11 SECURITIES AND EXCHANGE COMMISSION,

12 Plaintiff,

13 vs.

14 MICHELLE NGUYEN, LISA NGUYEN and  
HAO VU

15 Defendants.

Case No. *SACV 99-830 AHS (AHS)*

FINAL JUDGMENT OF PERMANENT  
INJUNCTION AND OTHER RELIEF  
AGAINST HAO VU

**ENTERED**  
NOV 18 1999  
CLERK, U.S. DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA  
SANTA ANA OFFICE  
DEPUTY

17 Plaintiff Securities and Exchange Commission ("Commission"),  
18 having filed and served upon Defendant Hao Vu ("Vu") a Summons and  
19 Complaint in this action; Vu having admitted service upon him of the  
20 Summons and Complaint in this action and the jurisdiction of this  
21 Court over him and over the subject matter of this action; having  
22 been fully advised and informed of his right to a judicial  
23 determination of this matter; having waived the entry of findings of  
24 fact and conclusions of law as provided by Rule 52 of the Federal  
25 Rules of Civil Procedure; having consented to the entry of this  
26 Final Judgment Of Permanent Injunction And Other Relief Against Hao  
27 Vu ("Final Judgment"), without admitting or denying the allegations  
28

I HEREBY CERTIFY THAT THIS DOCUMENT WAS SERVED BY  
FIRST CLASS MAIL POSTAGE PREPAID, TO ALL COUNSEL  
(OR PARTIES) AT THEIR RESPECTIVE MOST RECENT ADDRESS OF  
RECORD IN THIS ACTION ON THIS DATE

DATED: 11-18-99  
mm  
DEPUTY CLERK

JUDGMENT

ENTERED ICMS

*mm* (10)  
11-18-99

✓ Docketed  
✓ Mid copy Pts  
✓ Mid Notice Pts  
NOJS - 6

1 in the Complaint except as specifically set forth in the Consent Of  
2 Hao Vu To Entry Of Final Judgment Of Permanent Injunction And Other  
3 Relief ("Consent"); no notice of hearing upon the entry of this  
4 Final Judgment being necessary; and this Court being fully advised:

5 I.

6 IT IS ORDERED, ADJUDGED AND DECREED that Vu and his agents,  
7 servants, employees and attorneys, and all persons in active concert  
8 or participation with any of them, who receive actual notice of this  
9 Final Judgment by personal service or otherwise, and each of them,  
10 are permanently restrained and enjoined from, directly or  
11 indirectly, in connection with the purchase or sale of any security,  
12 by the use of any means or instrumentality of interstate commerce,  
13 or of the mails, or of any facility of any national securities  
14 exchange:

- 15 A. employing any device, scheme, or artifice to defraud;
- 16 B. making any untrue statement of a material fact or  
17 omitting to state a material fact necessary in order to  
18 make the statements made, in the light of the  
19 circumstances under which they were made, not misleading;  
20 or
- 21 C. engaging in any act, practice, or course of business  
22 which operates or would operate as a fraud or deceit upon  
23 any person;

24 in violation of Section 10(b) of the Securities Exchange Act of 1934  
25 [15 U.S.C. § 78j(b)] and Rule 10b-5 thereunder [17 C.F.R.  
26 § 240.10b-5].

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1 II.

2 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Vu pay  
3 disgorgement in the amount of \$26,000, plus pre-judgment interest  
4 calculated pursuant to 28 U.S.C. § 1961. Vu shall make complete  
5 payment of the disgorgement within thirty (30) days of entry of this  
6 Final Judgment. This sum shall be paid by cashier's check,  
7 certified check or postal money order made payable to the United  
8 States Securities and Exchange Commission, and shall be transmitted  
9 to the Comptroller, Securities and Exchange Commission, Operations  
10 Center, 6432 General Green Way, Stop 0-3, Alexandria, VA 22312,  
11 under cover of a letter that identifies the defendant, the name and  
12 case number of this litigation, and the court. A copy of such cover  
13 letter shall be simultaneously transmitted to counsel for the  
14 Commission in this action at its Los Angeles Office.

15 III.

16 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Vu is  
17 assessed, and shall pay to the Commission for delivery to the United  
18 States Treasury, civil penalties in the amount of \$26,000 pursuant  
19 to Section 21A of the Exchange Act [15 U.S.C. § 78u-1]. Vu shall  
20 pay the above penalty in four (4) equal installments, with  
21 accumulated interest calculated pursuant to 28 U.S.C. § 1961,  
22 beginning sixty (60) days after entry of this Final Judgment and  
23 continuing every ninety (90) days thereafter. These sums shall be  
24 made by cashier's check, certified check or postal money order,  
25 payable to the United States Treasury, and shall be transmitted to  
26 the Comptroller, Securities and Exchange Commission, Operations  
27 Center, 6432 General Green Way, Stop 0-3, Alexandria, VA 22312,  
28 under cover of a letter which identifies the defendant, the name and

1 case number of this litigation and the name of the Court. A copy of  
2 the cover letter shall be simultaneously transmitted to counsel for  
3 the Commission at its Los Angeles office

4 IV.

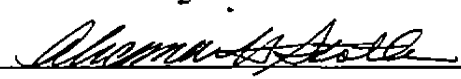
5 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that the provisions  
6 of the Consent filed concurrently with this Final Judgment are  
7 incorporated by this reference, and that Vu shall comply with the  
8 Consent.

9 V.

10 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that this Court  
11 shall retain jurisdiction over this action for all purposes,  
12 including to determine the liability of any remaining defendants in  
13 this action, to implement and enforce the terms of this Final  
14 Judgment and other orders and decrees which may be entered, and to  
15 grant such other relief as this Court may deem necessary and just.

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DATED: 11-15-99

  
UNITED STATES DISTRICT JUDGE

CERTIFICATE OF SERVICE

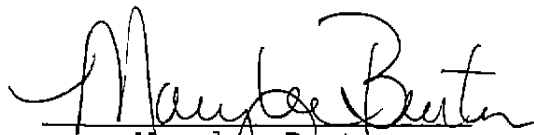
I, Marylee Burton, am the over the age of 18 years, not a party to this action, and am a citizen of the United States. My business address is 5670 Wilshire Boulevard, 11th Floor, Los Angeles, California 90036. On November 5, 1999, I caused to be served the following document entitled, (1) CONSENT OF MICHELLE NGUYEN TO ENTRY OF FINAL JUDGMENT OF PERMANENT INJUNCTION AND OTHER RELIEF; (2) CONSENT OF HAO VU TO ENTRY OF FINAL JUDGMENT OF PERMANENT INJUNCTION AND OTHER RELIEF; (3) CONSENT OF LISA NGUYEN TO ENTRY OF FINAL JUDGMENT OF PERMANENT INJUNCTION AND OTHER RELIEF (4) FINAL JUDGMENT OF PERMANENT INJUNCTION AND OTHER RELIEF AGAINST MICHELLE NGUYEN; (5) FINAL JUDGMENT OF PERMANENT INJUNCTION AND OTHER RELIEF AGAINST HAO VU; and (6) FINAL JUDGMENT OF PERMANENT INJUNCTION AND OTHER RELIEF AGAINST LISA NGUYEN causing to be mailed true and correct copies thereof in sealed envelope, postage prepaid, to:

**Scott W. Wellman**  
Wellman & Warren LLP  
4 Venture  
Suite 325  
Irvine, CA 92618-3325

**Cynthia Schaldenbrand**  
Freeburg, Judy & Nettels  
440 West First Street  
Suite 102  
Tustin, CA 92780-3047

I declare under penalty of perjury that the foregoing is true and correct.

Dated: November 5, 1999

  
Marylee Burton