

ORIGINAL
DEC 23 1997

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF TEXAS

U.S. DISTRICT COURT NORTHERN DISTRICT OF TEXAS
FILED
DEC 22 1997
NANCY DOHERTY, CLERK
By _____ Deputy

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

SANJEEV "TONY" SACHDEVA
AND NASIM "NICKY" AZIZ

Defendants.

FINAL JUDGMENT AS
TO NASIM "NICKY"
AZIZ

3 - 9 7 C V 3 1 0 3 - D

ENTERED ON DOCKET
DEC 23 1997 PURSUANT
TO F.R.C.P. RULES
58 AND 79a

This Court having determined that:

1. Plaintiff SECURITIES AND EXCHANGE COMMISSION ("COMMISSION") filed a COMPLAINT in this action;
2. Defendant NASIM "NICKY" AZIZ ("AZIZ") in the attached CONSENT AND UNDERTAKINGS OF NASIM "NICKY" AZIZ ("CONSENT"), the provisions of which are expressly incorporated herein, waived service of process; entered a general appearance; admitted the jurisdiction of this Court over him and over the subject matter of this action; consented to the venue of this action; waived the entry of findings of fact and conclusions of law pursuant to Rule 52 of the Federal Rules of Civil Procedure; and, without admitting or denying any of the allegations of the COMPLAINT except as to jurisdiction, which he admits, consented to the entry of this FINAL JUDGMENT AS TO NASIM "NICKY" AZIZ ("FINAL JUDGMENT"); and
3. This Court has jurisdiction over AZIZ and the subject matter of this action.

NOW THEREFORE,

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I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that AZIZ, his agents, servants, employees, and attorneys, and those persons in active concert or participation with them who receive actual notice of this FINAL JUDGMENT by personal service or otherwise, and each of them, be and hereby are permanently restrained and enjoined from

1. knowingly circumventing or knowingly failing to implement a system of internal accounting controls or knowingly falsifying any book, record, or account described in Section 13(b)(2) of the Exchange Act; and
2. falsifying or causing to be falsified, directly or indirectly, any book, record, or account subject to Section 13(b)(2)(A) of the Exchange Act;

in violation of Section 13(b)(5) of the Exchange Act [15 U.S.C. § 78m(b)(5)], and Rule 13b2-1 [17 C.F.R. § 240.13b2-1] thereunder.

II.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that AZIZ shall pay a penalty of \$5,000 pursuant to Section 21(d)(3) of the Exchange Act [15 U.S.C. § 78u(d)(3)].

III.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that AZIZ shall deliver, within ten (10) business days of the entry of the FINAL JUDGMENT, cash or a certified check in the amount of \$5,000, representing the penalty described in paragraph II above, to:

Office of the Comptroller
Securities and Exchange Commission
450 Fifth Street, N.W.
Mail Stop 0-3
Washington, D.C. 20549.

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The check shall be made payable to the "SECURITIES AND EXCHANGE COMMISSION" and bear on its face the caption and case number of this action and the name of this Court. AZIZ shall deliver a copy of the check, within ten (10) business days of the entry of the FINAL JUDGMENT, to:

Erich T. Schwartz
Securities and Exchange Commission
450 Fifth Street, N.W.
Mail Stop 7-6
Washington, D.C. 20549.

IV.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the annexed CONSENT be, and hereby is, incorporated herein with the same force and effect as if fully set forth herein.

V.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that AZIZ shall fully comply with his undertakings as set forth in the annexed CONSENT.

VI.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this action for all purposes, including implementation and enforcement of this Final Judgment.

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VII.

There being no reason for delay, the Clerk of Court is hereby directed, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure to enter this FINAL JUDGMENT forthwith.


UNITED STATES DISTRICT JUDGE

Date: December 22, 1997

Dallas, Texas