



1 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of  
2 interstate commerce, or of the mails, or of any facility of any national securities exchange, in  
3 connection with the purchase or sale of any security:

4 (a) to employ any device, scheme, or artifice to defraud;

5 (b) to make any untrue statement of a material fact or to omit to state a material fact  
6 necessary in order to make the statements made, in the light of the circumstances  
7 under which they were made, not misleading; or

8 (c) to engage in any act, practice, or course of business which operates or would  
9 operate as a fraud or deceit upon any person.  
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11 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in  
12 Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who  
13 receive actual notice of this Final Judgment by personal service or otherwise: (a) Defendant's  
14 officers, agents, servants, employees, and attorneys; and (b) other persons in active concert or  
15 participation with Defendant or with anyone described in (a).  
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17 II.

18 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, pursuant to Section  
19 21(d)(2) of the Exchange Act [15 U.S.C. § 78u(d)(2)], Defendant is prohibited from acting as an  
20 officer or director of any issuer that has a class of securities registered pursuant to Section 12 of  
21 the Exchange Act [15 U.S.C. § 78l] or that is required to file reports pursuant to Section 15(d) of  
22 the Exchange Act [15 U.S.C. § 78o(d)].  
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24 III.

25 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is  
26 incorporated herein with the same force and effect as if fully set forth herein, and that Defendant  
27 shall comply with all of the undertakings and agreements set forth therein.  
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IV.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, for purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. §523, the allegations in the complaint are true and admitted by Defendant, and further, any debt for civil penalty or other amounts due by Defendant under this Final Judgment or any other judgment, order, consent order, decree or settlement agreement entered in connection with this proceeding, is a debt for the violation by Defendant of the federal securities laws or any regulation or order issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code, 11 U.S.C. §523(a)(19).

V.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

VI.

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.

DATED this 5th day of July, 2022.



HON. RICHARD A. JONES  
United States District Judge