

UNITED STATES DISTRICT COURT
for the
DISTRICT OF COLUMBIA

SECURITIES AND EXCHANGE COMMISSION)

Plaintiff,)

v.)

REGINA FERNANDEZ,)

Defendant.)

CIVIL ACTION NO. **01 1986**

FINAL JUDGMENT
AS TO REGINA
FERNANDEZ

Plaintiff, the United States Securities and Exchange Commission (“Commission”), having filed its Complaint, and defendant Regina Fernandez (“Fernandez”), in the Consent of Regina Fernandez (“Consent”), filed simultaneously with the Final Judgment as to Regina Fernandez (“Final Judgment”) and incorporated herein by reference, having entered a general appearance, having admitted the Court’s jurisdiction over her and over the subject matter of the action, having waived the service of the Summons and Complaint of Plaintiff and the entry of findings of fact and conclusions of law pursuant to Rule 52 of the Federal Rules of Civil Procedure and, without admitting or denying any of the allegations of the Complaint, except as to jurisdiction, to which she admits, having consented to the entry of the Final Judgment, and it further appearing that the Court has jurisdiction over Fernandez and the subject matter hereof, and the Court being fully advised in the premises:

I.

IT IS ORDERED, ADJUDGED AND DECREED that Fernandez shall pay a civil penalty of \$15,000.00 pursuant to Section 21(d) of the Exchange Act [15 U.S.C. § 78u(d)].

II.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that the \$7,500.00 of the monies to be paid pursuant to Section I., above, of the Final Judgment shall be paid within 90 days of the date of this Final Judgment and a final payment of \$7,500.00 shall be paid within 180 days of the date of this Final Judgment Payment shall be (a) made by United States money order, certified check, bank cashier's check or bank money order, (b) made payable to the "Securities and Exchange Commission," (c) hand-delivered or mailed by certified mail (return receipt requested) to the Comptroller, Securities and Exchange Commission, Operations Center, 6432 General Green Way, Stop O-3, Alexandria, VA 22312; and(d) ~~submitted under~~ cover letter that identifies Fernandez as a defendant in the action, the civil action number assigned to the Complaint, the District Court in which the Complaint, Consent and Final Judgment were filed, and the Commission's internal case number (HO-3567). The Comptroller of the Securities and Exchange Commission shall forward such funds to the proper account at the United States Treasury. Copies of the letter referred to in II.(d) shall be sent to the Secretary of the Commission, 450 Fifth Street, N.W., Mail Stop 6-9, Washington, DC 20549 and simultaneously to Richard C. Sauer, Assistant Director, Division of Enforcement, Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, DC 20549-0803.

III

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that the Consent of Fernandez be, and the same hereby is, incorporated herein by reference with the same force and effect as if fully set forth herein.

Iv.

There being no just cause for delay, the Clerk, of the Court is directed, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, to enter the Final Judgment forthwith and without further notice.,



United States District Judge

DATED: 9/24/01