Case 2:00-cv-00600-EHC Document 15 Filed 08/29/00 Page 1 of 3	
RECEIVED AUG 1 8	FILED LODGED RECEIVED COPY
• • • • • • • • • • • • • • • • • • • •	ES DISTRICT COURT AUG 2 9 2000 ARIZONA Z DEPUTY ARIZONA Z DEPUTY ARIZONA Z DEPUTY ARIZONA Z DEPUTY
Securities and Exchange Commission,	DISTRICT OF ARIZONA BY DEPUTY
Plaintiff,	: Civil Action No. 00-600-PHX-EHC
V.	; ; ;
Dominic Roelandt and Stephen B. Marek,	: :
Defendants.	ANT STERUEN D. MAREK
JUDGMENT AS TO DEFENDANT STEPHEN B. MAREK	

Defendant Stephen B. Marek ("Marek") having (i) entered a general appearance; (ii) consented to the Court's jurisdiction over Defendant and the subject matter of this action; (iii) without admitting or denying the allegations of the Complaint, consented to entry of this Judgment without further notice; (iv) waived findings of fact and conclusions of law; and (v) waived any right to appeal from this Judgment, it is now:

I.

ORDERED, ADJUDGED AND DECREED that Marek, and his agents, servants, employees and attorneys, and those persons in active concert or participation with him who receive actual notice of this Judgment, are permanently restrained and enjoined from violating Section 10(b) of the Securities Exchange Act of 1934 (15 U.S.C. §78j(b)) and Rule 10b-5 thereunder (17 C.F.R. §240.10b-5) by, directly or indirectly, by the use of any means

or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security, (1) employing any device, scheme or artifice to defraud, (2) making any untrue statement of a material fact or omitting to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading, or (3) engaging in any act, practice or course of business which operates or would operate as a fraud or deceit upon any person;

H.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Marek is liable for disgorgement of \$100,835, representing profits gained as a result of the conduct alleged in the Complaint. Marek's obligation for this disgorgement amount shall be satisfied when the Canada Trust bank of British Columbia, Canada has irrevocably wired a total of \$100,835 from Marek-controlled accounts numbered 594-750284, 594-750290 and/or 594-750826 to the Comptroller, Securities and Exchange Commission, via the Federal Reserve Bank of New York, ABA# 021030004, for the benefit of SEC account No. ALC50000001. The SEC shall forward such funds to the U.S. Treasury. By making this payment, Marek relinquishes all legal and equitable right, title and interest in such funds, and no part of the funds shall be returned to Marek.

III.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Marek pay a civil penalty of \$100,835 pursuant to Section 21(d)(3) of the Securities Exchange Act of 1934 [15 U.S.C. §78u(d)(3)]. Marek's obligation for this penalty amount shall be satisfied when

the Canada Trust bank of British Columbia, Canada has irrevocably wired a total of \$100,835 from Marek-controlled accounts numbered 594-750284, 594-750290 and/or 594-750826 to the Comptroller, Securities and Exchange Commission, via the Federal Reserve Bank of New York, ABA# 021030004, for the benefit of SEC account No. ALC50000001. The SEC shall forward such funds to the U.S. Treasury.

IV.

IT IS FURTHER ORDERED that this Court shall retain jurisdiction over this action for the purpose of implementing and carrying out the terms of all orders and decrees which may be entered herein and to entertain any suitable application or motion for additional relief within the jurisdiction of this Court.

٧.

There being no just reason for delay, pursuant to Fed. R. Civ. P. 54(b), the Clerk is ordered to enter this Judgment forthwith and without further notice.

DATED this <u>28</u> day of August, 2000:

Earl H. Carroll

United States District Judge

Presented by:

Thomas D. Carter

Attorney for Plaintiff

Securities and Exchange Commission

1801 California Street, Suite 4800

Denver, Colorado 80202

Telephone No.:(303) 844-1090

Facsimile (303) 844-1010