

UPDATES TO FREQUENTLY ASKED QUESTIONS ABOUT FORM 13F

The Division of Investment Management staff updated the Form 13F FAQs to:

- ▶ FAQ 25 - Explicitly state the Form 13F filing deadlines for the calendar quarters that end during the years 2014-16.

The Investment Management Division works to:

- ▲ protect investors
- ▲ promote informed investment decisions and
- ▲ facilitate appropriate innovation in investment products and services

through regulating the asset management industry.

If you have any questions about this IM Information Update, please contact:

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