

Client Agreements | Version 1.1

Policy

Public

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1.0 Overview

The following agreements are applicable for KOR TR Services.

| Agreement |
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| KOR Universal Services Agreement ("USA") |
| SDR Counterparty Client Addendum |
| SDR Delegated Reporter Addendum |
| SBSDR Counterparty Client Addendum |
| SBSDR Delegated Reporter Addendum |

1.1 Appendix A: Exhibits

| Regulato r | Exhibit | Description |
|---------------|---------|---|
| CFTC | AA | Attach as Exhibit AA, copies of any agreements governing the terms by which information may be shared by the swap data repository, including with market participants. To the extent that form contracts are used by the Applicant, submit a sample of each type of form contract used. |
| SEC | U | Attach as Exhibit U copies of all contracts governing the terms by which persons may subscribe to the security-based swap data repository services, securities information processor services, and any ancillary services provided by the applicant. To the extent that form contracts are used by the applicant, submit a sample of each type of form contract used. |