

**FINAL ORDER- THESE PRELIMINARY SUMMARY DISPOSITIONS BECAME
THE FINAL ORDER OF THE COMMISSION ON AUGUST 23, 2024
PURSUANT TO RULE 21F-10(f) OF THE SECURITIES EXCHANGE ACT OF 1934**

Notice of Covered Action: [REDACTED]

Notice of Covered Action: [REDACTED]

Notice of Covered Action: [REDACTED]

**PRELIMINARY SUMMARY DISPOSITIONS
OF THE OFFICE OF THE WHISTLEBLOWER**

In response to the above-referenced Notices of Covered Action, the U.S. Securities and Exchange Commission (“Commission”) received whistleblower award claims from [REDACTED] (“Claimant”) for the above referenced matters. Pursuant to Section 21F of the Securities Exchange Act of 1934 (the “Exchange Act”) and Rule 21F-18 promulgated thereunder, the Office of the Whistleblower has evaluated the above claim(s) in accordance with the criteria set forth in Rules 21F-1 through 21F-18 and has designated your award applications for resolution through the summary disposition process.

The Office of the Whistleblower has preliminarily determined to recommend that the Commission deny the above award claims.¹ The basis for this determination is marked below as follows:

Claimant failed to specify in the award applications the submission pursuant to Rule 21F-9(a) upon which the Claimant’s claims for an award is based.²

By: Office of the Whistleblower

Date: July 24, 2024

¹ To the extent Claimant has applied for an award in a related action, because Claimant is not eligible for an award in an SEC Covered Action, he/she is not eligible for an award in connection with any related action. *See* 15 U.S.C. § 78u-6(b); Exchange Act Rule 21F-3(b), (b)(1); Rule 21F-4(g) and (f); Rule 21F-11(a); *see also* Order Determining Whistleblower Award Claim, Release No. 34-86902 (Sept. 9, 2019).

² Claimant failed to identify any tips or submission as the basis for an award for any of the Covered Actions. While Commission staff identified a TCR submitted by Claimant, the information included in the TCR does not appear to relate to the conduct alleged in the Covered Actions.