

CME Securities Clearing CA-1 – EXHIBIT B

Exhibit Request:

List in Exhibit B the registrant’s corporate officers, trust officers, managers or other persons occupying a similar status or performing similar functions who supervise, or are directly responsible for the conduct of, registrant’s clearing agency activities, indicating for each:

- a) Name**
- b) Title**
- c) Area of responsibility**
- d) A brief account of the business experience during the last five**

(5) years. Response:

The details of the Registrant’s management and executives are as follows:

- 1) Name: Suzanne Sprague

Title: Head of the Corporation

Area of responsibility: The Head of the Corporation shall be responsible for all aspects of the business and for implementing the Registrant’s long and short-term plans. The Head of the Corporation shall administer the day-to-day affairs and business of the Registrant and have supervision of the officers and agents appointed by them. The Head of the Corporation shall report to the Board. The Head of the Corporation or their designee shall have the authority to declare a Member or User to be in Default.

Business experience during the last five (5) years: Suzanne Sprague was appointed to Chief Operating Officer & Global Head of Clearing for CME Group in 2024. She has served as Senior Managing Director & Global Head of Clearing and Post-Trade Services since 2022. She joined the company in 2002 and has held numerous roles and leadership positions in financial management and risk management since that time. During her tenure, she has played a key role in the company’s development of risk management policy. Since 2015, she served as Managing Director, Credit & Liquidity Risk, Risk Policy & Banking, overseeing CME Clearing’s exposure to counterparty credit risk, liquidity risk management and financial performance, acceptable collateral and collateral services, risk management policies and procedures, financial operations, and banking. She earned a Bachelor’s degree with concentrations in finance and international business from Indiana University and a Master’s degree in financial markets from the Illinois Institute of Technology.

- 2) Name: Jacob Rodriguez

Title: Chief Compliance Officer

Area of responsibility: The Board shall appoint a Chief Compliance Officer of the Registrant. The Chief Compliance Officer shall be responsible for performing the responsibilities described in (i) Section 3C(j)(2) of the Securities Exchange Act, and any applicable rules and regulations promulgated thereunder, and (ii) to the extent required, Section 3C(j)(3) of the Securities Exchange Act, and any applicable rules and regulations promulgated thereunder. The Chief Compliance Officer shall have such powers necessary to perform such duties. The Chief Compliance Officer shall report directly to the Head of the Corporation with respect to the day-to-day organizational operation and shall also report to the Board.

Business experience during the last five (5) years: Jacob Rodriguez has served as Senior Director, Clearing Regulatory Compliance & ERM Policy of CME Group since 2022 and focuses on the development and execution of CME Clearing's compliance program.

Prior to joining CME Group in 2019, Mr. Rodriguez worked for over 5 years in the Federal Reserve System, serving in policy and supervision roles at the Board of Governors and Federal Reserve Bank of Chicago. Prior to joining the Federal Reserve, Mr. Rodriguez worked in private wealth management at UBS.

Mr. Rodriguez holds a Bachelor's degree from the University of Southern California and a Master's degree from the London School of Economics.

3) Name: Ryan Hanley

Title: Head of Operations

Area of responsibility: The Head of Operations is responsible for managing the Registrant's trade processing, deliveries and administrative functions. The Head of Operations is also responsible for providing technical leadership in conjunction with the Registrant's Information Technology efforts and for the security of the Registrant's technology system. The Head of Operations shall exercise such other powers and perform such other duties as may be assigned to the Head of Operations from time to time by the Board, the Chairman or the Head of the Corporation. The Head of Operations shall report directly to the Head of the Corporation.

Business experience during the last five (5) years: Ryan Hanley has served as Senior Director, Post-Trade Services & Risk for CME Group since 2018. He joined the company in 2010 and has since held various leadership roles. Mr. Hanley currently leads Operational Risk Management, Default Management Operations, and Operational Resilience for CME Clearing. Mr. Hanley has been on the FIA Operations Board since January 2024, and has served as Co-Chair on the CCP Global Operations Working Committee since August 2022. Mr. Hanley earned a Bachelor's degree in Business Administration from the University of Kansas.

4) Name: TBD – CMESC is actively recruiting for the CRO position.

Title: Head of Risk Management (CRO)

Area of Responsibility: The CRO is responsible for implementing the Registrant's risk management strategy. The CRO shall exercise such other powers and perform such other duties

as may be assigned to the CRO from time to time by the Board, the Chairman or the Head of the Corporation. The CRO is under the Head of the Corporation in terms of the day-to-day organizational management and shall report directly to the Risk Management Committee.

Business experience during the last five (5) years: N/A

5) Name: John McKinlay

Title: Head of Legal

Area of Responsibility: The Head of Legal shall be the principal legal officer of the Registrant and shall have general supervision of all matters of a legal nature concerning the Registrant. The Head of Legal shall exercise such other powers and perform such other duties as may be assigned to the Head of Legal from time to time by the Board, the Chairman or the Head of the Corporation. The Head of Legal shall report directly to the Head of the Corporation.

Business experience during the last five (5) years: John McKinlay has served as Senior Director, Associate General Counsel at CME Group since June 2022, with a focus on clearing and financial derivatives. Prior to this role at CME Group, Mr. McKinlay served as Executive Principal, Associate General Counsel at the Options Clearing Corporation ("OCC"). Prior to joining the OCC, Mr. McKinlay worked for over 9 years in CME Group's Legal Department, with a focus on regulatory issues impacting exchange, clearing house and trade reporting functions.

Mr. McKinlay holds a Bachelor's degree from Indiana University and a J.D. from Indiana University Maurer School of Law.

Name: Meg Wright

Title: Secretary

Area of Responsibility: The Secretary shall attend all meetings of the Board and all meetings of the stockholder of the Registrant, and record all the proceedings in a book or books to be kept for that purpose. The Secretary shall also perform like duties for committees of the Board when required. The Secretary shall give, or cause to be given, notice of all meetings of the stockholder and special meetings of the Board. The Secretary shall have custody of the seal of the Registrant and the Secretary shall have authority to affix the same to any instrument requiring it and when so affixed, it may be attested by the signature of the Secretary. The Secretary shall see that all books, reports, statements, certificates, and other documents and records required by law to be

kept or filed are properly kept or filed, as the case may be. The Secretary shall have all powers and duties usually incident to the office of Secretary, except as specifically limited by resolution of the Board. The Secretary shall exercise such other powers and perform such other duties as may be assigned to the Secretary from time to time by the Board, the Chairman, or the Head of the Corporation. The Secretary shall report directly to the Head of the Corporation.

Business experience during the last five (5) years: Meg Wright joined CME Group in 2003 as the Company's Associate Director, Associate General Counsel and Assistant Corporate Secretary. She was promoted to Executive Director, Associate General Counsel and Assistant Corporate Secretary in 2006 and assumed the role of Corporate Secretary in 2022. Prior to joining CME Group, she practiced corporate law at Skadden, Arps, Slate, Meagher and Flom. Ms. Wright holds a Bachelor's degree from University of San Diego and a J.D. from Loyola University Chicago School of Law.