

To: United States Securities and Exchange Commission
Washington, DC 20549

UBS AG, which will register with the Securities and Exchange Commission (the "Commission") as a security-based swap dealer by 1 November 2021, hereby notifies the Commission pursuant to 17 CFR § 240.3a71-3(d)(1)(vi) that its associated person UBS Europe SE may conduct the activity described in 17 CFR § 240.3a71-3(d)(1)(i) as of 6 August 2021.