

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 4658/March 7, 2017

ADMINISTRATIVE PROCEEDING
File No. 3-17693

In the Matter of

SEAN P. FINN and
M. DWYER LLC

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ORDER TO SHOW CAUSE

The Securities and Exchange Commission instituted this proceeding with an Order Instituting Proceedings (OIP) on November 21, 2016, pursuant to Section 15(b) of the Securities Exchange Act of 1934. The proceeding is a follow-on proceeding based on *SEC v. Malom Group AG*, No. 13-cv-2280 (D. Nev. Nov. 1, 2016), in which Respondents Sean P. Finn and M. Dwyer LLC were enjoined against violations of the registration and antifraud provisions of the securities laws. The OIP alleges that Finn is the sole owner, officer, and employee of M. Dwyer LLC.

The OIP provides that Respondents' Answer is due within twenty days of service of the OIP on them and that if they fail to answer they may be deemed in default and the proceeding determined against them on consideration of the OIP, the allegations of which may be deemed to be true. *See* OIP at 3; 17 C.F.R. § 201.220(b). The OIP was served on Respondents in Canada on January 26, 2017, pursuant to 17 C.F.R. § 201.141(a)(2)(i), (ii), (iv). The OIP was sent to Finn's location, the North Fraser Pretrial Detention Center, by UPS.¹ The Division of Enforcement represents that the Detention Center confirmed that Finn personally received the shipments on January 26, 2017. To date, Respondents have not filed an Answer or submitted any other correspondence.

Accordingly, Respondents are ORDERED TO SHOW CAUSE, by April 17, 2017, why they should not be deemed to be in default and be barred from associating with any broker, dealer, investment adviser, municipal securities dealer, municipal advisor, transfer agent, or nationally recognized statistical rating organization and from participating in an offering of penny stock. *See* OIP at 2; 17 C.F.R. §§ 201.155(a), .220(f).

/S/ Carol Fox Foelak

Carol Fox Foelak
Administrative Law Judge

¹ UPS Tracking Numbers 1ZA41W656696425272 and 1ZA41W656696836266 show delivery to the Detention Center on January 25, 2017. The Division of Enforcement represents that delivery via UPS is not prohibited by the law of Canada. Thus, it complies with 17 C.F.R. § 201.141(a)(2)(iv)(C)(3).