

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 4053/August 9, 2016

ADMINISTRATIVE PROCEEDING
File No. 3-17070

In the Matter of

3C ADVISORS & ASSOCIATES, INC.,
STEPHEN JONES, AND
DAVID PROLMAN

ORDER

Yesterday, I held a telephonic prehearing conference to discuss the Division of Enforcement's motion to permit the deposition of Carolyn Kling due to her unavailability to appear at the hearing in this matter. In attendance were the Division and counsel for Respondents Stephen Jones and 3C Advisors & Associates, Inc. For reasons discussed during the conference, I denied the Division's motion.

The parties have stipulated that David Luvisa may appear at the hearing by videoconference. The stipulation is accepted.

The Division has requested a hearing subpoena directed to Respondent Stephen Jones. Although I have signed subpoenas directed to respondents in the past, there is no apparent reason why the requested subpoena was not among the Division's other hearing subpoenas, which I signed on August 4, 2016. The request is denied as superfluous. Jones is expected to appear at his own hearing; if he does not, he may be held in default.

SO ORDERED.

Cameron Elliot
Administrative Law Judge