

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 2329/February 20, 2015

ADMINISTRATIVE PROCEEDING
File No. 3-16358

In the Matter of

SPECTRUM CONCEPTS, LLC,
DONALD JAMES WORSWICK,
MICHAEL NICHOLAS GROSSO, AND
MICHAEL PATRICK BROWN

ORDER RESCHEDULING
PREHEARING CONFERENCE

The Securities and Exchange Commission (Commission) issued an Order Instituting Cease-and-Desist Proceedings (OIP) on January 23, 2015, pursuant to Section 8A of the Securities Act of 1933 and Section 21C of the Securities Exchange Act of 1934. A prehearing conference is currently scheduled for February 26, 2015, at 9:30 a.m. EST.

On February 10, 2015, the Division of Enforcement (Division) filed a Status Report on Service of Process (Status Report). The Status Report states that the Office of the Secretary received a signed Domestic Return Receipt for delivery of the OIP to Respondent Michael Patrick Brown's (Brown) last known address, dated January 29, 2015. Status Report at 2.

On February 18, 2015, counsel for Respondents Spectrum Concepts, LLC, and Donald James Worswick (Spectrum Respondents) filed a Motion to Cancel the Hearing and to Reschedule Pre-Hearing Conference (Motion) as well as an Answer and Affirmative Defenses and Motion for More Definitive Statement. The Motion represents that counsel is currently scheduled to be out of the country from February 16 to 27, 2015, and requests that the prehearing conference be rescheduled to a mutually acceptable date during the week of March 2 through March 6, 2015. Motion at 1-2.

On February 19, 2015, the Division filed a Third Status Report on Service of Process (Status Report II). The Division represents that it contacted Brown by telephone on February 10, 2015, and Brown confirmed that the address to which the OIP was sent is still a good address for him and that the occupants of that address were holding mail for him at that address. Status Report II at 2. I find that Brown was served in accordance with Commission Rule of Practice 141(a)(2)(i) on February 10, 2015, the date he confirmed the service address. 17 C.F.R. § 201.141(a)(2)(i). Brown's Answer is due by March 5, 2015. OIP at 7; 17 C.F.R. § 201.160(b),

.220(b). The Division also notes that service of process efforts on Michael Nicholas Grosso (Grosso) continue, but service has not been effected at this time. Status Report II at 2.

To allow time for the Division to complete service on Grosso, to allow time for the Grosso and Brown to file Answers, and to accommodate the schedule of counsel for Spectrum Respondents, I RESCHEDULE the prehearing conference scheduled for February 26, 2015, to March 5, 2015, at 10:00 a.m. EST.

Brenda P. Murray
Chief Administrative Law Judge