

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 2048/November 24, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-16213

In the Matter of

DAVID G. DERRICK, SR.

ORDER

The Division of Enforcement (Division) has requested that the hearing currently scheduled for December 1, 2014, be postponed pending an Answer from Respondent. Respondent does not oppose the motion. Also pending is a request by Respondent to extend that time to Answer to December 4, 2014, which Respondent represents is unopposed by the Division.

Both motions are GRANTED. The hearing is postponed *sine die*, and the parties are ORDERED to hold an initial prehearing conference without the hearing officer by December 4, 2014, to discuss each numbered item in Rule 221(c), 17 C.F.R. § 201.221(c), including the date by which each item will be accomplished. By December 11, 2014, the parties shall file a joint prehearing conference statement, which addresses each numbered item in Rule 221(c), and includes proposed due dates where applicable.¹ Based on this prehearing conference statement, a subsequent prehearing conference with the hearing officer shall be scheduled if appropriate. Respondent's Answer shall be due December 4, 2014.

The parties are also asked to email alj@sec.gov courtesy copies of any filings in this proceeding going forward. Finally, I find that Respondent was served with the Order Instituting Proceedings by November 5, 2014, in compliance with 17 C.F.R. § 201.141(a)(2)(i), based on the Division's representation in its motion that counsel for Respondent had confirmed receipt by the date of the motion.

Jason S. Patil
Administrative Law Judge

¹ The parties may denote that an item is "not applicable" in their filing.