

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 69019 / March 1, 2013

INVESTMENT ADVISERS ACT OF 1940
Release No. 3561 / March 1, 2013

Admin. Proc. File No. 3-14984

In the Matter of

OMAR ALI RIZVI

NOTICE THAT INITIAL DECISION HAS BECOME FINAL

The time for filing a petition for review of the initial decision in this proceeding has expired. No such petition has been filed by Omar Ali Rizvi and the Commission has not chosen to review the decision on its own initiative.

Accordingly, notice is hereby given, pursuant to Rule 360(d) of the Commission's Rules of Practice,¹ that the initial decision of the administrative law judge² has become the final decision of the Commission with respect to Omar Ali Rizvi. The order contained in that decision is hereby declared effective. The law judge ordered Omar Ali Rizvi barred from association with an investment adviser, broker, dealer, municipal securities dealer, municipal advisor, transfer agent, and nationally recognized statistical rating organization and from participating in an offering of penny stock.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Elizabeth M. Murphy
Secretary

¹ 17 C.F.R. § 201.360(d).

² *Omar Ali Rizvi*, Initial Decision Release No. 479 (Jan. 7, 2013), __ SEC Docket __.