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**UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA  
Western Division**

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

vs.

STEVEN J. MUEHLER, CLAUDIA  
M. MUEHLER, KOOROSH  
“DANNY” RAHIMI, ALTAVISTA  
CAPITAL MARKETS, LLC,  
ALTAVISTA PRIVATE CLIENT,  
LLC, AND ALTAVISTA  
SECURITIES, LLC,

Defendants.

Case No. 2:18-cv-01677-CAS(SKx)

**[PROPOSED] FINAL JUDGMENT AS  
TO DEFENDANT STEVEN J.  
MUEHLER**

The Securities and Exchange Commission (“SEC”) having filed a Complaint and Defendant Steven J. Muehler (“Defendant”) having entered a general appearance; consented to the Court’s jurisdiction over Defendant and the subject matter of this action; consented to entry of this Final Judgment; waived findings of fact and conclusions of law; waived any right to appeal from this Judgment; and Defendant having admitted the facts set forth in paragraphs 25 through 277 of the Complaint and acknowledged that his conduct violated the securities laws:

**I.**

1  
2 IT IS ORDERED, ADJUDGED, AND DECREED that Defendant is  
3 permanently restrained and enjoined from violating, directly or indirectly, Section  
4 10(b) of the Securities Exchange Act of 1934 (the “Exchange Act”) [15 U.S.C. §  
5 78j(b)] and Rule 10b-5(a) and (c) promulgated thereunder [17 C.F.R. § 240.10b-5(a)  
6 & (c)], by using any means or instrumentality of interstate commerce, or of the mails,  
7 or of any facility of any national securities exchange, in connection with the purchase  
8 or sale of any security to employ any device, scheme, or artifice to defraud, or to  
9 engage in any act, practice, or course of business which operates or would operate as  
10 a fraud or deceit upon any person.

11 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as  
12 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also  
13 binds the following who receive actual notice of this Final Judgment by personal  
14 service or otherwise: (a) Defendant’s officers, agents, servants, employees, and  
15 attorneys; and (b) other persons in active concert or participation with Defendant or  
16 with anyone described in (a).

**II.**

17  
18 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant  
19 is permanently restrained and enjoined from violating Section 5 of the Securities Act  
20 [15 U.S.C. § 77e(c)] by, directly or indirectly, in the absence of any applicable  
21 exemption; making use of any means or instruments of transportation or  
22 communication in interstate commerce or of the mails to offer to sell or offer to buy  
23 through the use or medium of any prospectus or otherwise any security, unless a  
24 registration statement has been filed with the Commission as to such security, or  
25 while the registration statement is the subject of a refusal order or stop order or (prior  
26 to the effective date of the registration statement) any public proceeding or  
27 examination under Section 8 of the Securities Act [15 U.S.C. § 77h].

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1 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as  
2 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also  
3 binds the following who receive actual notice of this Final Judgment by personal  
4 service or otherwise: (a) Defendant's officers, agents, servants, employees, and  
5 attorneys; and (b) other persons in active concert or participation with Defendant or  
6 with anyone described in (a).

7 **III.**

8 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant  
9 is permanently restrained and enjoined from violating, directly or indirectly, Section  
10 15(a) of the Exchange Act [15 U.S.C. § 78o(a)], which makes it unlawful for any  
11 broker or dealer which is either a person other than a natural person or a natural  
12 person, to make use of the mails or any means or instrumentality of interstate  
13 commerce to effect any transactions in, or to induce or attempt to induce the purchase  
14 or sale of, any security (other than an exempted security or commercial paper,  
15 bankers' acceptances, or commercial bills) unless such broker or dealer is registered  
16 in accordance with Section 15(b) of the Exchange Act, 15 U.S.C. § 78o(b).

17 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as  
18 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also  
19 binds the following who receive actual notice of this Final Judgment by personal  
20 service or otherwise: (a) Defendant's officers, agents, servants, employees, and  
21 attorneys; and (b) other persons in active concert or participation with Defendant or  
22 with anyone described in (a).

23 **IV.**

24 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant  
25 is permanently restrained and enjoined from violating, directly or indirectly, Section  
26 15(b)(6)(B)(i) of the Exchange Act [15 U.S.C. § 78o(b)(6)(B)(i)], which makes it  
27 unlawful for any person as to whom an order by the Commission barring such person  
28 from being associated with a broker, dealer, investment adviser, municipal securities

1 dealer, municipal adviser, transfer agent, or nationally recognized statistical rating  
2 organization, or from participating in offering of penny stock, is in effect, without the  
3 consent of the Commission, willfully to become, or to be, associated with a broker or  
4 dealer in contravention of such order, or to participate in an offering of penny stock in  
5 contravention of such order.

6 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as  
7 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also  
8 binds the following who receive actual notice of this Final Judgment by personal  
9 service or otherwise: (a) Defendant's officers, agents, servants, employees, and  
10 attorneys; and (b) other persons in active concert or participation with Defendant or  
11 with anyone described in (a).

12 **V.**

13 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant  
14 is permanently restrained and enjoined from, directly or indirectly, including, but not  
15 limited to, through any entity owned or controlled by Defendant, participating in the  
16 issuance, purchase, offer, or sale of any securities, provided, however, that such  
17 injunction shall not prevent Defendant from purchasing or selling securities listed on  
18 a national securities exchange for his own personal account.

19 **VI.**

20 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that  
21 Defendant is liable for disgorgement of \$80,000.00, representing profits gained as a  
22 result of the conduct alleged in the Complaint, together with prejudgment interest  
23 thereon in the amount of \$3,789.59, for a total of \$83,789.59. Defendant's  
24 disgorgement and prejudgment interest obligation includes Defendant's joint and  
25 several liability with defendant Claudia M. Muehler for any amounts she is ordered to  
26 pay as disgorgement and prejudgment interest in this action. Defendant is also liable  
27 for a civil penalty in the amount of \$160,000.00 pursuant to Section 20(d) of the  
28 Securities Act [15 U.S.C. § 77t(d)] and Section 21(d)(3) of the Exchange Act [15

1 U.S.C. § 78u(d)(3)]. Defendant shall satisfy these obligations by paying \$243,789.59  
2 to the Securities and Exchange Commission within 14 days after entry of this Final  
3 Judgment.

4 Defendant may transmit payment electronically to the Commission, which will  
5 provide detailed ACH transfer/Fedwire instructions upon request. Payment may also  
6 be made directly from a bank account via Pay.gov through the SEC website at  
7 <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by certified  
8 check, bank cashier's check, or United States postal money order payable to the  
9 Securities and Exchange Commission, which shall be delivered or mailed to

10 Enterprise Services Center  
11 Accounts Receivable Branch  
12 6500 South MacArthur Boulevard  
13 Oklahoma City, OK 73169

14 and shall be accompanied by a letter identifying the case title, civil action number,  
15 and name of this Court; Defendant's name as a defendant in this action; and  
16 specifying that payment is made pursuant to this Final Judgment.

17 Defendant shall simultaneously transmit photocopies of evidence of payment  
18 and case identifying information to the Commission's counsel in this action. By  
19 making this payment, Defendant relinquishes all legal and equitable right, title, and  
20 interest in such funds and no part of the funds shall be returned to Defendant. The  
21 Commission shall send the funds paid pursuant to this Final Judgment to the United  
22 States Treasury. The Commission may enforce the Court's judgment for  
23 disgorgement and prejudgment interest by moving for civil contempt (and/or through  
24 other collection procedures authorized by law) at any time after 14 days following  
25 entry of this Final Judgment. Defendant shall pay post-judgment interest on any  
26 delinquent amounts pursuant to 28 USC § 1961.

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**VII.**

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2 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that  
3 Defendant's Consent is incorporated herein with the same force and effect as if fully  
4 set forth herein, and that Defendant shall comply with all of the undertakings and  
5 agreements set forth therein, including, but not limited to, the undertaking to dissolve  
6 AltaVista Capital Markets, LLC, AltaVistaPrivate Client LLC and AltaVista  
7 Securities, LLC no later than sixty (60) days from the date of this Final Judgment,  
8 and certify, in writing, compliance with the undertaking set forth above. The  
9 certification shall identify the undertaking, provide written evidence of compliance in  
10 the form of a narrative, and be supported by exhibits sufficient to demonstrate  
11 compliance. The Commission staff may make reasonable requests for further  
12 evidence of compliance, and Defendant agrees to provide such evidence. Defendant  
13 shall submit the certification and supporting material to Commission Trial Counsel,  
14 Donald W. Searles, with a copy to the Office of Chief Counsel of the Enforcement  
15 Division, no later than sixty (60) days from the date of entry of this Final Judgment. .

**VIII.**

16  
17 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, for  
18 purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy Code,  
19 11 U.S.C. §523, the allegations in the complaint are true and admitted by Defendant,  
20 and further, any debt for disgorgement, prejudgment interest, civil penalty or other  
21 amounts due by Defendant under this Final Judgment or any other judgment, order,  
22 consent order, decree or settlement agreement entered in connection with this  
23 proceeding, is a debt for the violation by Defendant of the federal securities laws or  
24 any regulation or order issued under such laws, as set forth in Section 523(a)(19) of  
25 the Bankruptcy Code, 11 U.S.C. §523(a)(19).

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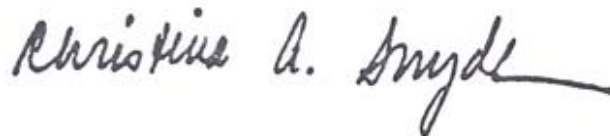
**IX.**

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Judgment.

**X.**

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Judgment forthwith and without further notice.

Dated: July 5, 2018



UNITED STATES DISTRICT JUDGE