## UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF CALIFORNIA

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff.

v.

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PAUL T. RAMPOLDI; and WILLIAM SCOTT BLYTHE III,

Defendants.

Case No.: 16cv2017-MMA (RNB)

FINAL JUDGMENT AS TO DEFENDANT WILLIAM SCOTT BLYTHE

The Securities and Exchange Commission having filed a Complaint and Defendant William Scott Blythe ("Defendant") having entered a general appearance; consented to the Court's jurisdiction over Defendant and the subject matter of this action; consented to entry of this Final Judgment; waived findings of fact and conclusions of law; and waived any right to appeal from this Final Judgment:

I.

**IT IS HEREBY ORDERED, ADJUDGED, AND DECREED** that Defendant is permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the "Exchange Act"), 15 U.S.C. § 78j(b), and Rule 10b-5 promulgated thereunder, 17 C.F.R. § 240.10b-5, by using any means or

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instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

- (a) to employ any device, scheme, or artifice to defraud;
- to make any untrue statement of a material fact or to omit to state a material (b) fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who receive actual notice of this Final Judgment by personal service or otherwise: (a) Defendant's officers, agents, servants, employees, and attorneys; and (b) other persons in active concert or participation with Defendant or with anyone described in (a).

II.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is liable for disgorgement of \$41,493, representing profits gained as a result of the conduct alleged in the Complaint, together with prejudgment interest thereon in the amount of \$5,778.68. This disgorgement and prejudgment interest award shall be deemed satisfied by the entry of the forfeiture order in *United States v. Rampoldi*, et al., 16-cr-01842-DMS (S.D. Cal.).

III.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that no civil penalty shall be imposed upon Defendant in this civil action, based upon Defendant's criminal conviction and sentence in *United States v. Rampoldi, et al.*, 16-cr-01842-DMS (S.D. Cal.).

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IV. 1 2 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is incorporated herein with the same force and effect as if fully set forth herein, and that 3 Defendant shall comply with all of the undertakings and agreements set forth therein. 4 5 V. IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, for 6 7 purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 8 U.S.C. § 523, the allegations in the complaint are true and admitted by Defendant, and 9 further, any debt for disgorgement, prejudgment interest, civil penalty or other amounts 10 due by Defendant under this Final Judgment or any other judgment, order, consent order, 11 decree or settlement agreement entered in connection with this proceeding, is a debt for 12 the violation by Defendant of the federal securities laws or any regulation or order issued 13 under such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code, 11 U.S.C. § 523(a)(19). 14 15 VI. IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court 16 17 shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment. 18 19 VII. 20 There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of 21 Civil Procedure, the Clerk is ordered to enter this Judgment forthwith and without further 22 notice. 23 Dated: August 16, 2018 Wichael Wa- (chello 24 Hon. Michael M. Anello United States District Judge 25 26 27 28