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 10 UNITED STATES DISTRICT COURT
 NORTHERN DISTRICT OF CALIFORNIA
 11 SAN FRANCISCO DIVISION
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14 SECURITIES AND EXCHANGE COMMISSION,
 15 Plaintiff,
 16 vs.
 17 SALEEM KHAN, et al.,
 18 Defendants.
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Case No. 3:14-CV-02743-HSG

FINAL JUDGMENT AS TO
DEFENDANT RANJAN MENDONSA

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1 The Securities and Exchange Commission having filed a Complaint and Defendant Ranjan
2 Mendonsa having entered a general appearance; consented to the Court's jurisdiction over Defendant
3 and the subject matter of this action; consented to entry of this Final Judgment without admitting or
4 denying the allegations of the Complaint (except as to jurisdiction and except as otherwise provided
5 herein in paragraph IV); waived findings of fact and conclusions of law; and waived any right to
6 appeal from this Final Judgment:

7 I.

8 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant is permanently
9 restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities
10 Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated
11 thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of interstate commerce, or
12 of the mails, or of any facility of any national securities exchange, in connection with the purchase or
13 sale of any security:

14 (a) to employ any device, scheme, or artifice to defraud;

15 (b) to make any untrue statement of a material fact or to omit to state a material fact
16 necessary in order to make the statements made, in the light of the circumstances
17 under which they were made, not misleading; or

18 (c) to engage in any act, practice, or course of business which operates or would
19 operate as a fraud or deceit upon any person.

20 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in Federal
21 Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who receive
22 actual notice of this Final Judgment by personal service or otherwise: (a) Defendant's officers,
23 agents, servants, employees, and attorneys; and (b) other persons in active concert or participation
24 with Defendant or with anyone described in (a).

25 II.

26 IT IS HEARBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is
27 liable for disgorgement of \$296,500, representing profits gained as a result of the conduct alleged in
28 the Complaint, together with prejudgment interest thereon in the amount of \$21,375, and a civil

1 penalty in the amount of \$296,500 pursuant to Section 21A of the Exchange Act [15 U.S.C. § 78u-1].
2 Defendant shall satisfy this obligation by paying \$614,375 to the Securities and Exchange
3 Commission pursuant to the terms of the payment schedule set forth in paragraph III below after
4 entry of this Final Judgment.

5 Defendant may transmit payment electronically to the Commission, which will provide
6 detailed ACH transfer/Fedwire instructions upon request. Payment may also be made directly from a
7 bank account via Pay.gov through the SEC website at <http://www.sec.gov/about/offices/ofm.htm>.
8 Defendant may also pay by certified check, bank cashier's check, or United States postal money
9 order payable to the Securities and Exchange Commission, which shall be delivered or mailed to

10 Enterprise Services Center
11 Accounts Receivable Branch
12 6500 South MacArthur Boulevard
13 Oklahoma City, OK 73169

14 and shall be accompanied by a letter identifying the case title, civil action number, and name of this
15 Court; Ranjan Mendonsa as a defendant in this action; and specifying that payment is made pursuant
16 to this Final Judgment.

17 Defendant shall simultaneously transmit photocopies of evidence of payment and case
18 identifying information to the Commission's counsel in this action. By making this payment,
19 Defendant relinquishes all legal and equitable right, title, and interest in such funds and no part of the
20 funds shall be returned to Defendant. The Commission shall send the funds paid pursuant to this
21 Final Judgment to the United States Treasury.

22 The Commission may enforce the Court's judgment for disgorgement and prejudgment
23 interest by moving for civil contempt (and/or through other collection procedures authorized by law)
24 at any time after 14 days following entry of this Final Judgment. Defendant shall pay post judgment
25 interest on any delinquent amounts pursuant to 28 U.S.C. § 1961.

26 III.

27 Defendant Mendonsa shall pay the total of disgorgement, prejudgment interest, and penalty
28 due of \$614,375 in seven (7) installments to the Commission according to the following
schedule: (1) \$122,875.00 within 14 days of entry of this Final Judgment; (2) \$81,916.67 within 194

1 days of entry of this Final Judgment; (3) \$81,916.67 within 374 days of entry of this Final Judgment;
2 (4) \$81,916.67 within 554 days of entry of this Final Judgment; (5) \$81,916.67 within 734 days of
3 entry of this Final Judgment; (6) \$81,916.67 within 914 days of entry of this Final Judgment; and (7)
4 \$81,916.65 within 1094 days of entry of this Final Judgment. Payments shall be deemed made on the
5 date they are received by the Commission and shall be applied first to post judgment interest, which
6 accrues pursuant to 28 U.S.C. § 1961 on any unpaid amounts due after 14 days of the entry of Final
7 Judgment. Prior to making the final payment set forth herein, Defendant Mendonsa shall contact the
8 staff of the Commission for the amount due for the final payment.

9 If Defendant Mendonsa fails to make any payment by the date agreed and/or in the amount
10 agreed according to the schedule set forth above, all outstanding payments under this Final Judgment,
11 including post-judgment interest, minus any payments made, shall become due and payable
12 immediately at the discretion of the staff of the Commission without further application to the Court.

13 IV.

14 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, solely for purposes of
15 exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. § 523, the
16 allegations in the complaint are true and admitted by Defendant, and further, any debt for
17 disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under this Final
18 Judgment or any other judgment, order, consent order, decree or settlement agreement entered in
19 connection with this proceeding, is a debt for the violation by Defendant Mendonsa of the federal
20 securities laws or any regulation or order issued under such laws, as set forth in Section 523(a)(19) of
21 the Bankruptcy Code, 11 U.S.C. § 523(a)(19).

22 V.

23 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain
24 jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.
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VI.

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.

Dated: September 28, 2015


UNITED STATES DISTRICT JUDGE

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

v.

SALEEM M. KHAN, et al.,

Defendants.

Case No. 14-cv-02743-HSG

CERTIFICATE OF SERVICE

I, the undersigned, hereby certify that I am an employee in the Office of the Clerk, U.S. District Court, Northern District of California.

That on September 28, 2015, I SERVED a true and correct copy(ies) of the attached, by placing said copy(ies) in a postage paid envelope addressed to the person(s) hereinafter listed, by depositing said envelope in the U.S. Mail, or by placing said copy(ies) into an inter-office delivery receptacle located in the Clerk's office.

Roshanlal Chaganlal
4883 Thorndike Lane
Dublin, CA 94568

Dated: September 28, 2015

Susan Y. Soong
Clerk, United States District Court

By: _____
Nikki D. Riley, Deputy Clerk to the
Honorable HAYWOOD S. GILLIAM, JR.

United States District Court
Northern District of California