UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF FLORIDA CASE NO. 13-80954-CV-MIDDLEBROOKS/BRANNON

SECURITIES	AND	EXCHANGE
COMMISSIO:	N.	

Plaintiff,

٧.

TIBOR KLEIN, and MICHAEL R. SHECHTMAN,

Deter	idants.		

FINAL JUDGMENT AS TO DEFENDANT SHECHTMAN

Having granted Plaintiff's Unopposed Motion for Entry of Final Judgment, final judgment against Defendant Michael R. Shechtman is hereby entered as follows:

I. <u>DISGORGEMENT, PREJUDGMENT INTEREST, AND CIVIL</u> <u>PENALTY</u>

IT IS ORDERED AND ADJUDGED that Defendant Michael Shechtman is liable for disgorgement of \$109,040.53, representing profits gained as a result of the conduct alleged in the Complaint. The amount of disgorgement is deemed satisfied by entry of the forfeiture order against Shechtman in the criminal case-concerning the same conduct at issue in this case, U.S. v. Michael Shechtman, Case No. 14-CR-00542, in the Eastern District of New York.

IT IS FURTHER ORDERED AND ADJUDGED that the Commission's claims for prejudgment interest on disgorgement and a civil penalty pursuant to Section 21(d) of the Securities Exchange Act of 1934 ("Exchange Act") are dismissed.

II. INCORPORATION OF CONSENT

IT IS FURTHER ORDERED AND ADJUDGED that the Consent is incorporated into this Final Judgment with the same force and effect as if fully set forth herein, and that

Shechtman shall comply with all of the undertakings and agreements set forth therein.

III. RETENTION OF JURISDICTION

IT IS FURTHER ORDERED AND ADJUDGED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

IT IS FURTHER ORDERED AND ADJUDGED that there being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Judgment forthwith and without further notice.

DONE AND ORDERED in Chambers, at West Palm Beach, Florida, this Zday of

May, 2018.

DONALD M. MIDDLEBROOKS UNITED STATES DISTRICT JUDGE

Copies to: Counsel of Record