UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

JOHN ANTHONY STILWELL, et al.,

Defendants.

i	
	USDS SDNY
	DOCUMENT
	ELECTRONICALLY FILED
	DOC#:
	DATE FILED: 8 7 15
Case No. 13 cv 343	37 (HB)
ECF C	Case

FINAL JUDGMENT AS TO DEFENDANT JILLIAN MARGARET MURPHY

The Securities and Exchange Commission having filed a Complaint and Defendant Jillian Margaret Murphy having entered a general appearance; consented to the Court's jurisdiction over Defendant and the subject matter of this action; consented to entry of this Final Judgment without admitting or denying the allegations of the Complaint (except as to jurisdiction); waived findings of fact and conclusions of law; and waived any right to appeal from this Final Judgment:

I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or

instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

II.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is liable for disgorgement of \$4,207.00, representing profits gained as a result of the conduct alleged in the Complaint, together with prejudgment interest thereon in the amount of \$357.29 and a civil penalty in the amount of \$7,816.51 pursuant to Section 21A of the Exchange Act [15 U.S.C. § 78u-1]. Defendant shall satisfy this obligation by paying \$12,380.80 to the Securities and Exchange Commission, with \$8,771.29 payable within 14 days after entry of this Final Judgment (the "Initial Payment") and the remainder, including applicable post judgment interest thereon pursuant to 28 U.S.C. § 1961, payable within one (1) year after entry of this Final Judgment (the "Remainder Payment").

Defendant may transmit payment electronically to the Commission, which will provide detailed ACH transfer/Fedwire instructions upon request. Payment may also be made directly from a bank account via Pay.gov through the SEC website at http://www.sec.gov/about/offices/ofm.htm. Defendant may also pay by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange

Commission, which shall be delivered or mailed to:

Enterprise Services Center Accounts Receivable Branch 6500 South MacArthur Boulevard Oklahoma City, OK 73169

and shall be accompanied by a letter identifying the case title, civil action number, and name of this Court; Jillian Margaret Murphy as a defendant in this action; and specifying that payment is made pursuant to this Final Judgment.

Defendant shall simultaneously transmit photocopies of evidence of payment and case identifying information to:

James J. Thibodeau
U.S. Securities and Exchange Commission
Chicago Regional Office
175 West Jackson Boulevard
Suite 900
Chicago, IL 60604
ThibodeauJ@sec.gov

By making each payment, Defendant relinquishes all legal and equitable right, title, and interest in such funds and no part of the funds shall be returned to Defendant. The Commission shall send the funds paid pursuant to this Final Judgment to the United States Treasury.

The Commission may enforce the Court's judgment for disgorgement, prejudgment interest, and a civil penalty by moving for civil contempt (and/or through other collection procedures authorized by law) at any time after (i) 14 days following entry of this Final Judgment solely in regard to the Initial Payment and (ii) one (1) year following entry of this Final Judgment solely in regard to the Remainder Payment. Defendant shall pay post judgment interest on all delinquent amounts pursuant to 28 U.S.C. § 1961.

III.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that based on Defendant's cooperation in a Commission investigation and related enforcement action, the Court is not ordering Defendant to pay a civil penalty in excess of that specified in Section II above. If at any time following the entry of the Final Judgment the Commission obtains information indicating that Defendant knowingly provided materially false or misleading information or materials to the Commission or in a related proceeding, the Commission may, at its sole discretion and without prior notice to the Defendant, petition the Court for an order requiring Defendant to pay an additional civil penalty. In connection with any such petition and at any hearing held on such a motion: (a) Defendant will be precluded from arguing that she did not violate the federal securities laws as alleged in the Complaint; (b) Defendant may not challenge the validity of the Judgment, the Consent, or any related undertakings; (c) the allegations of the Complaint, solely for the purposes of such motion, shall be accepted as and deemed true by the Court; and (d) the Court may determine the issues raised in the motion on the basis of affidavits, declarations, excerpts of sworn deposition or investigative testimony, and documentary evidence without regard to the standards for summary judgment contained in Rule 56(c) of the Federal Rules of Civil Procedure. Under these circumstances, the parties may take discovery, including discovery from appropriate non-parties.

IV.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is incorporated herein with the same force and effect as if fully set forth herein, and that Defendant shall comply with all of the undertakings and agreements set forth therein.

V.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

VI.

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.

HAROLD BAER UNITED STATES DISTRICT JUDGE

THIS DOCUMENT WAS ENTERED ON THE DOCKET ON _____

United States District Court Southern District of New York Office of the Clerk U.S. Courthouse 500 Pearl Street, New York, N.Y. 10007-1213

Date:

In Re:

-V-

Case #:

)

Dear Litigant,

Enclosed is a copy of the judgment entered in your case.

Your attention is directed to Rule 4(a)(1) of the Federal Rules of Appellate Procedure, which requires that if you wish to appeal the judgment in your case, you must file a notice of appeal within 30 days of the date of entry of the judgment (60 days if the United States or an officer or agency of the United States is a party).

If you wish to appeal the judgment but for any reason you are unable to file your notice of appeal within the required time, you may make a motion for an extension of time in accordance with the provision of Fed. R. App. P. 4(a)(5). That rule requires you to show "excusable neglect" or "good cause" for your failure to file your notice of appeal within the time allowed. Any such motion must first be served upon the other parties and then filed with the Pro Se Office no later than 60 days from the date of entry of the judgment (90 days if the United States or an officer or agency of the United States is a party).

The enclosed Forms 1, 2 and 3 cover some common situations, and you may choose to use one of them if appropriate to your circumstances.

The Filing fee for a notice of appeal is \$5.00 and the appellate docketing fee is \$450.00 payable to the "Clerk of the Court, USDC, SDNY" by certified check, money order or cash. No personal checks are accepted.

Ruby J. Krajick, Clerk of Cour

by:

, Deputy Clerk

United States District Court Southern District of New York Office of the Clerk U.S. Courthouse 500 Pearl Street, New York, N.Y. 10007-1213 NOTICE OF APPEAL -Vciv. Notice is hereby given that (party) hereby appeals to the United States Court of Appeals for the Second Circuit from the Judgment [describe it] entered in this action on the (month) (Signature) (Address) (City, State and Zip Code) Date: ((Telephone Number)

<u>Note</u>: You may use this form to take an appeal provided that it is <u>received</u> by the office of the Clerk of the District Court within 30 days of the date on which the judgment was entered (60 days if the United States or an officer or agency of the United States is a party).

FORM 1				•
United States D				
Southern District		York		
Office of th	1			
U.S. Cour	I	10005 1013		
500 Pearl Street, New Yo	ork, N.Y.	L0007-1213		
X				
	MOTI	ON ROR EX	TENSIO	N OF TIME
		ILE A NOT		
-V-	101			
		civ.	()
			•	•
Pursuant to Fed. R. App. P. 4(a)(5),				respectfully
	c	(party)		
requests leave to file the within notice of appeal out of	t time.			(party)
desires to appeal the judgment in this action entered o	n			but failed to file a
desires to appear the judgment in this totion entered of		(day)		
notice of appeal within the required number of days be	ecause:	,		
[Explain here the "excusable neglect" or "good cause" whi	ch led to ye	our failure to f	ile a notice	e of appeal within the
required number of days.]				
•				
•				
		(Sig	nature)	
·				
		(Add	dress)	
		(_ /	
•		(City, Stat	e and Zip C	ode)
		, ,,	•	•
Date:	()		· _	
		(Telepho	ne Number))

Note: You may use this form, together with a copy of Form 1, if you are seeking to appeal a judgment and did not file a copy of Form 1 within the required time. If you follow this procedure, these forms must be received in the office of the Clerk of the District Court no later than 60 days of the date which the judgment was entered (90 days if the United States or an officer or agency of the United States is a party).

Distract Court will <u>receive</u> it within the 30 days of the date on with the United States or an officer or agency of the United States is	nich the judgment was entered (od days if
the Usuates of an officer of agency of the United States is	party).
FORM 3	
United States District C	
Southern District of New	York
Office of the Clerk	·
U.S. Courthouse	
500 Pearl Street, New York, N.Y.	10007-1213
X	
	A THEORY & A CHICAS OF STATE ALCOHOL
	AFFIRMATION OF SERVICE
-V-	
	civ. ()
I,, d	eclare under penalty of perjury that I have
•	
served a copy of the attached	
upon	
·	
•	
	·
whose address is:	
70.4	
Date: New York, New York	
New Tolk, New Tolk	
	(Signature)
·	, -
	(Address)
·	
	(City, State and Zip Code)
FORM 4	

FORM 2

United States District Court Southern District of New York Office of the Clerk U.S. Courthouse

500 Pearl Street, New York, N.Y. 10007-1213				
500 1 500 500 1				
	X			
•	NOTICE OF APPEAL			
•	AND			
-V-	MOTION FOR EXTENSION OF TIME			
	·			
:	civ. ()			
	A			
1. Notice is hereby given that	hereby appeals to			
	(party)			
	d Circuit from the judgment entered on			
Crive a descri	ption of the judgment]			
•				
2. In the event that this form was not receive	ved in the Clerk's office within the required time			
respec	etfully requests the court to grant an extension of time in			
(party)	many requests the court to grant an extension of the man			
accordance with Fed. R. App. P. 4(a)(5).				
a. In support of this request,	(party) states that			
this Court's indement was received on	and that this form was mailed to the			
this Court's judgment was received on	(date)			
court on				
(date)				
	(Signature)			
	(Address)			
	·			
	(City, State and Zip Code)			
Date:	()			
	(Telephone Number)			

Note: You may use this form if you are mailing your notice of appeal and are not sure the Clerk of the