

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA

CASE NO.:

SECURITIES AND EXCHANGE COMMISSION,)
)
Plaintiff,)
)
v.)
)
CHARLES HANSEN, III, et al.)
)
Defendants.)
_____)

FINAL JUDGMENT AS TO DEFENDANT CHARLES HANSEN, III

The Securities and Exchange Commission having filed a Complaint and Defendant Charles Hansen, III having: entered a general appearance; consented to the Court’s jurisdiction over him and the subject matter of this action; consented to entry of this Final Judgment without admitting or denying the allegations of the Complaint (except as to subject matter and personal jurisdiction); waived findings of fact and conclusions of law; and waived any right to appeal from this Final Judgment:

I.

SECTION 5 OF THE SECURITIES ACT OF 1933

IT IS ORDERED AND ADJUDGED that Hansen and his agents, servants, employees, attorneys, representatives, and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating Section 5 of the Securities Act of 1933 (“Securities Act”) [15 U.S.C. § 77e] by, directly or indirectly, in the absence of any applicable exemption:

(a) Unless a registration statement is in effect as to a security, making use of any means or instruments of transportation or communication in interstate commerce or of the mails to sell such security through the use or medium of any prospectus or otherwise;

(b) Unless a registration statement is in effect as to a security, carrying or causing to be carried through the mails or in interstate commerce, by any means or instruments of transportation, any such security for the purpose of sale or for delivery after sale; or

(c) Making use of any means or instruments of transportation or communication in interstate commerce or of the mails to offer to sell or offer to buy through the use or medium of any prospectus or otherwise any security, unless a registration statement has been filed with the Commission as to such security, or while the registration statement is the subject of a refusal order or stop order or (prior to the effective date of the registration statement) any public proceeding or examination under Section 8 of the Securities Act [15 U.S.C. § 77h].

II.

CIVIL PENALTY

IT IS FURTHER ORDERED AND ADJUDGED that Hansen is liable for a civil penalty in the amount of \$37,500 pursuant to Section 20(d) of the Securities Act, 15 U.S.C. §77t(d). Hansen shall satisfy this obligation by paying \$37,500 pursuant to the terms of the payment schedule set forth in paragraph III below after entry of this Final Judgment by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange Commission. The payment shall be delivered or mailed to the Office of Financial Management, Securities and Exchange Commission, 100 F Street, NE, Stop 6042, Washington DC 20549, and shall be accompanied by a letter identifying Hansen as a defendant in this action; setting forth the title and civil action number of this action and the name of this Court; and

specifying that payment is made pursuant to this Final Judgment. Hansen shall send a copy of the letters to Amie Riggle Berlin, Senior Trial Counsel, 801 Brickell Avenue, Suite 1800, Miami, Florida, 33131. Defendant shall pay post-judgment interest on any delinquent amounts pursuant to 28 USC § 1961. The Commission shall remit the funds paid pursuant to this paragraph to the United States Treasury.

III.

PAYMENT SCHEDULE

Hansen shall pay \$37,500 in twelve installments according to the following schedule: (1) \$3,125, within fourteen days of entry of this Final Judgment; (2) \$3,125, within thirty days of entry of this Final Judgment plus post-judgment interest pursuant to 28 U.S.C. § 1961; (3) \$3,125, within sixty days of entry of this Final Judgment plus post-judgment interest pursuant to 28 U.S.C. § 1961; (4) \$3,125, within ninety days of entry of this Final Judgment plus post-judgment interest pursuant to 28 U.S.C. § 1961; (5) \$3,125, within one hundred twenty days of entry of this Final Judgment plus post-judgment interest pursuant to 28 U.S.C. § 1961; (6) \$3,125, within one hundred fifty days of entry of this Final Judgment plus post-judgment interest pursuant to 28 U.S.C. § 1961; (7) \$3,125, within one hundred eighty days of entry of this Final Judgment plus post-judgment interest pursuant to 28 U.S.C. § 1961; (8) \$3,125, within two hundred ten days of entry of this Final Judgment plus post-judgment interest pursuant to 28 U.S.C. § 1961; (9) \$3,125, within two hundred forty days of entry of this Final Judgment plus post-judgment interest pursuant to 28 U.S.C. § 1961; \$3,125, within two hundred seventy days of entry of this Final Judgment plus post-judgment interest pursuant to 28 U.S.C. § 1961; (10) \$3,125, within three hundred days of entry of this Final Judgment plus post-judgment interest pursuant to 28 U.S.C. § 1961; (11) \$3,125, within three hundred thirty days of entry of this Final

Judgment plus post-judgment interest pursuant to 28 U.S.C. § 1961; and (12) \$3,125, within three hundred sixty days of entry of this Final Judgment plus post-judgment interest pursuant to 28 U.S.C. § 1961.

If Hansen fails to make any payment by the date agreed and/or in the amount agreed according to the schedule set forth above, all outstanding payments under this Final Judgment, including post-judgment interest, minus any payments made, shall become due and payable immediately without further application to the Court.

Hansen shall not seek or accept, directly or indirectly, reimbursement or indemnification from any source, including but not limited to payment made pursuant to any insurance policy, with regard to any civil penalty amounts Hansen pays pursuant to the Final Judgment, regardless of whether such penalty amounts or any part thereof are added to a distribution fund or otherwise used for the benefit of investors. Hansen shall not claim, assert, or apply for a tax deduction or tax credit with regard to any federal, state, or local tax for any penalty amounts Hansen pays pursuant to the Final Judgment, regardless of whether such penalty amounts or any part thereof are added to a distribution fund or otherwise used for the benefit of investors.

IV.

INCORPORATION OF HANSEN'S CONSENT

IT IS FURTHER ORDERED AND ADJUDGED that the Consent is incorporated into this Judgment with the same force and effect as if fully set forth herein, and that Hansen shall comply with all of the agreements set forth in the Consent.

V.

RETENTION OF JURISDICTION

IT IS FURTHER ORDERED AND ADJUDGED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Judgment.

VI.

CERTIFICATION UNDER RULE 54(b)

IT IS FURTHER ORDERED AND ADJUDGED that there being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Judgment forthwith and without further notice.

DONE AND ORDERED in Chambers in Miami, Florida, this 6 day of

July, 2012.

John A. Ferrell
UNITED STATES DISTRICT JUDGE

Service on all parties and counsel of record