UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF FLORIDA

CASE NO. 11-61454-CIV-ALTONAGA

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

vs.

THOMAS SCHROEPFER, et al.,

FINAL JUDGMENT AS TO DEFENDANT THOMAS SCHROEPFER

Pursuant to Section 20(d) of the Securities Act of 1933, 15 U.S.C. section 77t(d), Section 21(d)(3) of the Securities Exchange Act of 1934, 15 U.S.C. section 78u(d)(3), and in accordance with the Court's Order, entered separately on this date, granting Plaintiff's Motion . . . to Set Disgorgement Amounts [ECF No. 22], it is

ORDERED AND ADJUDGED as follows:

- 1. Defendant, Thomas Schroepfer ("Schroepfer") is jointly and severally liable with Defendant, Charles Fuentes for disgorgement of \$28,000, representing profits gained as a result of the conduct alleged in the Complaint, together with prejudgment interest thereon in the amount of \$2,451.46. The total amount payment of \$30,451.46 shall be due and payable within fourteen (14) days from the date of this Final Judgment.
- 2. Schroepfer may transmit payment electronically to the Commission, which will provide detailed ACH transfer/Fedwire instructions upon request. Payment may also be made directly from a bank account via Pay.gov through the SEC

website at http://www.sec.gov/about/offices/ofm.htm. Schroepfer may also pay by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange Commission, which shall be delivered or mailed to:

Enterprise Services Center Accounts Receivable Branch 6500 South MacArthur Boulevard Oklahoma City, OK 73169

- 3. Payment shall be accompanied by a letter identifying the case title, civil action number, and name of this Court; Schroepfer as a defendant in this action; and specifying that payment is made pursuant to this Final Judgment. Schroepfer shall simultaneously transmit photocopies of evidence of payment and case identifying information to the Commission's counsel in this action. By making this payment, Schroepfer relinquishes all legal and equitable right, title, and interest in such funds and no part of the funds shall be returned to Schroepfer.
- 4. The Commission shall hold the funds (collectively, the "Fund") and may propose a plan to distribute the Fund subject to the Court's approval. The Court shall retain jurisdiction over the administration of any distribution of the Fund. If the Commission staff determines that the Fund will not be distributed, the Commission shall send the funds paid pursuant to this Final Judgment to the United States Treasury.
- 5. The Commission may enforce the Court's judgment for disgorgement, prejudgment interest, and a civil penalty by moving for civil contempt (and/or through other collection procedures authorized by law) at any time after 14

Case 0:11-cv-61454-CMA Document 28 Entered on FLSD Docket 08/18/2014 Page 3 of 3

Case No. 11-61454-CIV-ALTONAGA

days following entry of the Final Judgment. Schroepfer shall pay post

judgment interest on any delinquent amounts pursuant to 28 U.S.C. section

1961.

6. The Court shall retain jurisdiction over this matter and Schroepfer in order to

implement and carry out the terms of this Judgment and all Orders and Decrees

that may be entered, and entertain any suitable application or motion for

additional relief within the jurisdiction of the Court.

DONE AND ORDERED in Chambers at Miami, Florida, this 15th day of August, 2014.

CECILIA M. ALTONAGA

UNITED STATES DISTRICT JUDGE

cc: counsel of record