1 2 THE HONORABLE RONALD B. LEIGHTON 3 4 5 6 7 UNITED STATES DISTRICT COURT 8 WESTERN DISTRICT OF WASHINGTON 9 10 AT TACOMA 11 12 SECURITIES AND EXCHANGE COMMISSION, Case No. 3:10-cy-05557-RBL 13 Plaintiff, FINAL JUDGMENT AS TO DEFENDANT 14 KARLHEINZ REDEKOPP VS. 15 KARLHEINZ REDEKOPP, 16 Defendant. 17 18 FINAL JUDGMENT AS TO DEFENDANT KARLHEINZ REDEKOPP 19 The Securities and Exchange Commission having filed a Complaint and Defendant Karlheinz 20 Redekopp having entered a general appearance; consented to the Court's jurisdiction over Defendant 21 and the subject matter of this action; consented to entry of this Final Judgment without admitting or 22 denying the allegations of the Complaint (except as to jurisdiction); waived findings of fact and 23 conclusions of law; and waived any right to appeal from this Final Judgment: 24 I. 25 IT IS HEREBY ORDERED, ADJUDGED AND DECREED that Defendant and Defendant's 26 agents, servants, employees, attorneys, and all persons in active concert or participation with them 27 who receive actual notice of this Final Judgment by personal service or otherwise are permanently 28

1	restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities
2	Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated
3	thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of interstate commerce, or
4	of the mails, or of any facility of any national securities exchange, in connection with the purchase or
5	sale of any security:
6	(a) to employ any device, scheme, or artifice to defraud;
7	(b) to make any untrue statement of a material fact or to omit to state a material fact
8	necessary in order to make the statements made, in the light of the circumstances
9	under which they were made, not misleading; or
10	(c) to engage in any act, practice, or course of business which operates or would operate
11	as a fraud or deceit upon any person.
12	II.
13	IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and
14	Defendant's agents, servants, employees, attorneys, and all persons in active concert or participation
15	with them who receive actual notice of this Final Judgment by personal service or otherwise are
16	permanently restrained and enjoined from violating Section 17(a) of the Securities Act of 1933 [15
17	U.S.C. § 77q(a)] in the offer or sale of any security by the use of any means or instruments of
18	transportation or communication in interstate commerce or by use of the mails, directly or indirectly:
19	(a) to employ any device, scheme, or artifice to defraud;
20	(b) to obtain money or property by means of any untrue statement of a material fact or any
21	omission of a material fact necessary in order to make the statements made, in light of
22	the circumstances under which they were made, not misleading; or
23	(c) to engage in any transaction, practice, or course of business which operates or
24	would operate as a fraud or deceit upon the purchaser.
25	III.
26	IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and
27	Defendant's agents, servants, employees, attorneys-in-fact, and all persons in active concert or

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1 participation with any of them, who receive actual notice of this Final Judgment, by personal service 2 or otherwise, and each of them, are permanently enjoined and restrained from, directly or indirectly, 3 violating Section 13(b)(5) of the Exchange Act, 15 U.S.C. § 78m(b)(5), by knowingly circumventing 4 or failing to implement a system of internal accounting controls or knowingly falsifying any book, 5 record, or account described in Section 13(b)(2) of the Exchange Act, 15 U.S.C. § 78m(b)(2). 6

IV.

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IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys-in-fact, and all persons in active concert or participation with any of them, who receive actual notice of this Final Judgment, by personal service or otherwise, and each of them, are permanently enjoined and restrained from, directly or indirectly, violating Rule 13b2-1 under the Exchange Act, 17 C.F.R. § 240.13b2-1, by falsifying or causing to be falsified any book, record or account subject to Section 13(b)(2)(A) of the Exchange Act, 15 U.S.C.  $\S$  78m(b)(2)(A).

V.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys-in-fact, and all persons in active concert or participation with any of them, who receive actual notice of this Final Judgment, by personal service or otherwise, and each of them, are permanently enjoined and restrained from aiding and abetting any violation of Section 13(a) of the Exchange Act, 15 U.S.C. § 78m(a), and Rules 12b-20, 13a-1, and 13a-13, 17 C.F.R. §§ 240.12b-20, 240.13a-1 & 240.13a-13, by knowingly providing substantial assistance to an issuer which has a class of securities registered pursuant to Section 12 of the Exchange Act, 15 U.S.C. § 78l, or Section 15(d) of the Exchange Act, 15 U.S.C. § 78o, in failing to file with the Commission such accurate and complete information, reports, and documents as are required to be filed with the Commission pursuant to Section 13(a) of the Exchange Act, 15 U.S.C. § 78m(a), and the Commission's Rules, including annual reports on Form 10-K, quarterly reports on Form 10-Q and current reports on Form 8-K.

1 VI.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys-in-fact, and all persons in active concert or participation with any of them, who receive actual notice of this Final Judgment, by personal service or otherwise, and each of them, are permanently enjoined and restrained from violating Rule 13a-14 of the Exchange Act, 17 C.F.R. § 240.13a-14, as a principal executive or principal financial officer of an issuer, or as a person performing similar functions, falsely certifying any report filed under 15 U.S.C. § 78m(a) (other than a report filed by an Asset-Backed Issuer defined in 17 C.F.R. § 229.1101, or a report on Form 20-F under 17 C.F.R. § 240.13a-19), including reports filed on Forms 10-Q, Forms 10-QSB, Forms 10-K, or Forms 10-KSB.

VII.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys-in-fact, and all persons in active concert or participation with any of them, who receive actual notice of this Final Judgment, by personal service or otherwise, and each of them, are permanently enjoined and restrained from aiding and abetting any violation of Section 13(b)(2)(A) of the Exchange Act, 15 U.S.C. § 78m(b)(2)(A), by knowingly providing substantial assistance to any issuer which has a class of securities registered pursuant to Section 12 of the Exchange Act, 15 U.S.C. § 78*l*, or Section 15(d) of the Exchange Act, 15 U.S.C. § 78*o*, in failing to make or keep books, records or accounts, which, in reasonable detail, accurately and fairly reflect the transactions and dispositions of the assets of the issuer.

VIII.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys-in-fact, and all persons in active concert or participation with any of them, who receive actual notice of this Final Judgment, by personal service or otherwise, and each of them, are permanently enjoined and restrained from aiding and abetting any violation of Section 13(b)(2)(B) of the Exchange Act, 15 U.S.C. § 78m(b)(2)(B), by knowingly providing substantial assistance to any issuer which has a class of securities registered pursuant to

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1	Section 12 of the Exchange Act, 15 U.S.C. § 781, or Section 15(d) of the Exchange Act, 15 U.S.C. §
2	78o, in failing to devise and maintain a system of internal accounting controls sufficient to provide
3	reasonable assurance that transactions are recorded as necessary to permit preparation of financial
4	statements in conformity with generally accepted accounting principles or other applicable criteria,
5	and to maintain accountability for assets.
6	IX.
7	IT IS FURTHER ORDERED, ADJUDGED AND DECREED that, pursuant to Section
8	21(d)(2) of the Exchange Act [15 U.S.C. § 78u(d)(2)], Defendant is prohibited, for five years
9	following the date of entry of this Final Judgment, from acting as an officer or director of any issuer
10	that has a class of securities registered pursuant to Section 12 of the Exchange Act [15 U.S.C. § 78l]
11	or that is required to file reports pursuant to Section 15(d) of the Exchange Act [15 U.S.C. § 78o(d)].
12	X.
13	IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is
14	incorporated herein with the same force and effect as if fully set forth herein, and that Defendant shall
15	comply with all of the undertakings and agreements set forth therein.
16	XI.
17	IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain
18	jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.
19	XII.
20	There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil
21	Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.
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23	Dated: July 26, 2011
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25	RONALD B. LEIGHTON
26	UNITED STATES DISTRICT JUDGE
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2	Approved as to form:
3	Approved as to form.
4	/s/ Mark K. Braswell
5	Mark K. Braswell
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