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	$\mathbf{I}$	
1	parties participated in an early settlement conference with Attorney Settlement	
2	Office Robert H. Logan in Riverside, California (Docket #s 26 and 29). Pursuant to	
3	the Court's Civil Trial Scheduling Order (Docket #36), the parties participated in a	
4	second settlement conference with Attorney Settlement Officer Robert H. Logan	
5	(Docket #41). Haro consented to entry of this Final Judgment on February 25,	
6	2010, without admitting or denying the allegations of the Complaint (except as to	
7	personal and subject matter jurisdiction, which Haro admits). Based on the	
8	foregoing, the Court orders as follows:	
9	I.	
10	IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant	
11	and Defendant's agents, servants, employees, attorneys, and all persons in active	
12	concert or participation with them who receive actual notice of this Final Judgment	
13	by personal service or otherwise are permanently restrained and enjoined from	
14	violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of	
15	1934 (the "Exchange Act") [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated	
16	thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of	
17	interstate commerce, or of the mails, or of any facility of any national securities	
18	exchange, in connection with the purchase or sale of any security:	
19	a. to employ any device, scheme, or artifice to defraud;	
20	b. to make any untrue statement of a material fact or to omit to	
21	state a material fact necessary in order to make the statements	
22	made, in the light of the circumstances under which they were	
23	made, not misleading; or	
24	c. to engage in any act practice or course of business which	
25	operates or would operate as a fraud or deceit upon any person.	
26	II.	
27	IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant	
28	and Defendant's agents, servants, employees, attorneys, and all persons in active	

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concert or participation with them who receive actual notice of the Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating Section 17(a) of the Securities Act [15 U.S.C. § 77q(a)] in the offer or sale of any security by the use of any means or instruments of transportation of communication in interstate commerce or by use of the mails, directly or indirectly:

- a. To employ and device, scheme, or artifice to defraud;
- b. To obtain money or property by means of any untrue statement of a material fact or any omission of a material fact necessary in order to make the statements made, in light of the circumstances under which they were made, not misleading; or
- c. To engage in any transaction; practice, or course of business which operates or would operate as a fraud or deceit upon the purchaser.

III.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant, and Defendant's agents, servants, employees, attorneys and all person in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating Section 17 (a) of the Exchange Act [15 U.S.C. § 78q] and Rules 17a3(a)(6) and 17-a3(a)(17) [17 C.F.R. §§ 240.17a-3(a)(6) and 17a-3(a)(17)] promulgated thereunder by aiding or abetting in the failure to create and/or maintain accurate customer account records and/or order tickets.

IV.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant is liable for disgorgement of \$15,000 and a civil penalty in the amount of \$15,000 pursuant to Section 20(d) of the Securities Act and Section 21 (d) of the Exchange Act, for a total of \$30,000. Defendant shall satisfy this obligation pursuant to the terms of the payment schedule in paragraph V, below, after entry of this Final

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1	Judgment by certified check, bank cashier's check, or United States postal money
2	order payable to the Securities and Exchange Commission. The payment shall be
3	delivered to the Office of Financial Management, Securities and Exchange
4	Commission, Operations Center, 6432 General Green Way, Mail Stop 0-3,
5	Alexandria, Virginia 22312, and shall be accompanied by a letter identifying
6	Guillermo Haro as a defendant in this action; setting forth the title and civil action
7	number of this action and the name of this Court; and specifying that payment is
8	made pursuant to this Final Judgment. Defendant shall pay post-judgment interest
9	on any delinquent amounts pursuant to 28 U.S.C. § 1961. The Commission shall
10	remit the funds paid pursuant to this paragraph to the United States Treasury.
11	V.
12	Guillermo Haro shall pay \$30,000 in two installments according to the
13	following schedule, (1) \$15,000 to be paid within 30 days of the entry of this Final
14	Judgment; and (2) \$15,000 to be paid within 180 days of the entry of this Final
15	Judgment plus post-judgment interest pursuant to 28 U.S.C. § 1961.
16	If Guillermo Haro fails to make any payment by the date agreed and/or in th

If Guillermo Haro fails to make any payment by the date agreed and/or in the amount according to the schedule set forth, above, all outstanding payments under this Final Judgment, including post-judgment interest, minus any payments made, shall become due and payable immediately without further application to this Court.

VI.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that the Consent is incorporated herein with the same force and effect as if fully set forth herein, and that Defendant shall comply with all the undertakings and agreements set forth therein.

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