

UNITED STATES DISTRICT COURT FOR THE  
SOUTHERN DISTRICT OF FLORIDA

Miami Division

Case Number: 08-CIV-20342-MARTINEZ-BANDSTRA

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UNITED STATES SECURITIES AND	)
EXCHANGE COMMISSION,	)
	)
Plaintiff,	)
	)
WILLIAM G. WILLIAMS,	)
Defendant.	)
	)

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**CORRECTED<sup>1</sup> FINAL JUDGMENT AS TO DEFENDANT  
WILLIAM G. WILLIAMS**

This CAUSE is before the Court upon the United States Securities and Exchange Commission's ("SEC") Complaint (D.E. No. 1), which was filed on February 8, 2008, and the Defendant William G. Williams's Corrected Consent (D.E. No. 7-2), which was filed on March 6, 2008. The Defendant advises the Court that he consents to the Court's jurisdiction over him and the subject matter of this action; consents to the entry of this Final Corrected Judgment, without admitting or denying the allegations of the Complaint (except as to jurisdiction); and in so doing, he also waives the right to have the Court make specific findings of fact and conclusions of law in this Corrected Final Judgment;

<sup>1</sup>The Court modifies the Final Judgment (D.E. No. 6) entered on February 22, 2008 to correct a citation that appeared on paragraph 2 on the second page of the Final Judgment. (D.E. No. 6 at 2, ¶ 2). The citation referenced the section of the Exchange Act that authorizes the imposition of a civil penalty for violations of 15 U.S.C. § 78u-3, except for an act involving insider trading. Thus, the citation referenced Section 21(d)(3) of the Exchange Act [15 U.S.C. § 78u(d)(3)]. The Plaintiff has asked the Court to correct the citation so that it references the section of the statute that authorizes the imposition of civil penalties in cases involving insider trading, which is Section 21A of the Exchange Act [15 U.S.C. § 78u-1(a)]. Because the Defendant's original Consent referenced the incorrect statute in like manner, see (D.E. No.2 at 2, ¶ 2(c)), the Defendant filed a Corrected Consent (D.E. No. 7-2) on March 6, 2008, and the Court has corrected the Final Judgment accordingly. In all other respects, the Corrected Final Judgment is identical to the Final Judgment. Defendant William G. Williams has stipulated to this modification.

and waives any right to appeal from this Corrected Final Judgment. The Court, having carefully examined the Complaint and the Defendant's Corrected Consent, and being otherwise fully advised in the premises, it is hereby:

**ORDERED, ADJUDGED, AND DECREED** that

1. William G. Williams and his agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Corrected Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

2. William G. Williams is liable for disgorgement of \$28,550, representing profits gained as a result of the conduct alleged in the Complaint, together with prejudgment interest thereon in the amount of \$1,156, and a civil penalty in the amount of \$28,550 pursuant to Section 21A of the Exchange Act [15 U.S.C. § 78u-1(a)].

3. William G. Williams shall satisfy this obligation by paying \$58,256 within ten (10) business days after entry of this Corrected Final Judgment by certified check, bank

cashier's check, attorney's trust account, or United States postal money order payable to the Securities and Exchange Commission. The payment shall be delivered or mailed to the Office of Financial Management, Securities and Exchange Commission, Operations Center, 6432 General Green Way, Mail Stop 0-3, Alexandria, Virginia, 22312, and shall be accompanied by a letter identifying William G. Williams in this action; setting forth the title and civil action number of this action and the name of this Court; and specifying that payment is made pursuant to this Corrected Final Judgment. William G. Williams shall simultaneously transmit photocopies of such payment and letter to the Commission's counsel in this action. William G. Williams shall pay post-judgment interest on any delinquent amounts pursuant to 28 U.S.C. Section 1961. The Commission shall remit the funds paid pursuant to this paragraph to the United States Treasury.

4. The Defendant's Corrected Consent (**D.E. No. 7-2**) is incorporated herein with the same force and effect as if fully set forth herein, and that William G. Williams shall comply with all of the undertakings and agreements set forth therein.

5. That this case is **CLOSED** and all pending motions are **DENIED as moot**. However, this Court retains jurisdiction of this matter for the purposes of enforcing the terms of this Corrected Final Judgment.

DONE AND ORDERED in Chambers, Miami, Florida, March 14, 2008.

  
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JOSE E. MARTINEZ  
UNITED STATES DISTRICT JUDGE

Copies provided to:  
Magistrate Judge Bandstra  
All Counsel of Record